

North Carolina Department of Environmental Quality  
Division of Marine Fisheries

**APPLICATION  
TO INTRODUCE, TRANSFER OR HOLD IMPORTED MARINE AND ESTUARINE ORGANISMS  
OF THE STATE OF NORTH CAROLINA**

Application Date	
Participant ID	
Email	

Aquaculture Operation Permit #	
SCFL #	
Shellfish License #	
Fish Dealer License #	

**Fill out the Individual or Business Participant Information for the Aquaculture Operations Permit holder below:**

**Individual Participant Information**

First Name	Middle Name	Last Name	Suffix	Date of Birth
Address		City, State, Zip		Telephone Number

**Business (Facility) Participant Information**

Business Name	Business Agent Name (First, MI, Last)	Agent Date of Birth
Address	City, State	Telephone Number

**Specify Waterbody, applicable Lease / Franchise, if any, where the organisms will be placed:**

**Location 1**

Waterbody (where organism will be placed)	County	Zip Code
Shellfish Bottom Lease / Franchise #:	Water Column Lease #:	Other (specify):

**Location 2**

Waterbody (where organism will be placed)	County	Zip Code
Shellfish Bottom Lease / Franchise #:	Water Column Lease #:	Other (specify):

**Location 3**

Waterbody (where organism will be placed)	County	Zip Code
Shellfish Bottom Lease / Franchise #:	Water Column Lease #:	Other (specify):

**In determining whether the proposed activity will pose a threat to native species or environments pursuant to 15A NCAC 31.0104, the following information is requested:**

**Supplier:**

Supplier Business Name	Address	City, State, Zip
Supplier Business Contact Name (First, MI, Last)	Years in Business for this Purpose	Telephone #

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**Waterbody, species, estimated size and number of organisms to be shipped. Different organism size or assessment group should be separate batches:**

Waterbody of Origin					
Species/Organism					
Waterbody Classification	<input type="checkbox"/> Approved	<input type="checkbox"/> Conditionally Approved	<input type="checkbox"/> Restricted	<input type="checkbox"/> Conditionally Restricted	<input type="checkbox"/> Prohibited
Certified Hatchery	<input type="checkbox"/> Yes	<input type="checkbox"/> No			

Estimated Size	
Number of Organism	
Planned Date of Shipment	
Planned Date of Placement	
Assessment Type	
Assessment Date	
Assessment Result	
Investigator	
Investigator Qualifications	
Lab/Facility Investigator Associated	
Ploidy Status	

- \* Disease assessment for imported organisms **must be performed and dated** within 30 days of Shipment
- \* If non-native species, please provide description under "Detailed Description of the Operation if Non-Native Species"

**Part of an On-Going Disease Testing Program for which Documentation is Available? Y/N**

**Disease History for Subject Species in the Area; Or, if Closed System, Description of Closed System or Water Treatment:**

Description:	

**Detailed Description of the Operation if Non-Native Species:**

Facilities	
Handling	
Safeguards against Unwanted Associated Species	
Justification for Use of a Particular Species	
Any Other Information Deemed Necessary	

Signature: \_\_\_\_\_

Date: \_\_\_\_\_



**North Carolina Department of Environmental Quality  
Division of Marine Fisheries  
PERMIT APPLICATION CERTIFICATION & GENERAL PERMIT  
CONDITIONS FORM  
(REV 2024-12)**

<b>OFFICE USE ONLY</b>	Year _____
PID # _____	
PERMIT # _____	
DMF Staff: _____	

**Certification Statement (This section must be completed by applicant)**

- I, \_\_\_\_\_ certify that:
- All the information provided on this application and any supporting documentation provided is true, accurate, and complete. And further, for renewals, any changes in information or supporting documents have been provided at the time of renewal. I understand that any false information or fraudulent disclosures may result in termination of appropriate licenses, permits and related documents, revocation or suspension of marine fisheries licensing and other privileges, and in possible criminal prosecution.
  - I am a resident of the State of: \_\_\_\_\_  
If claiming resident status in North Carolina, I certify further that (check one):  
 I have been a legal resident for more than six months, or  
 If domiciled in North Carolina between 60 days and six months, I have completed and submitted with this application a notarized Certificate of Eligibility for North Carolina Residency.
  - For permits, I certify that:
    - I currently have no marine fisheries licenses, permits, endorsements, or registrations under suspension or revocation and the privilege to hold such licenses, permits, endorsements, or registrations is not revoked or suspended.
    - I have not been convicted of four or more violations in any jurisdiction related to state or federal law or regulations involving or related to marine or estuarine resources during the previous three years.
    - I understand that as a condition of accepting a permit from the Division of Marine Fisheries, I agree to abide by all conditions of the permit and agree, that if conditions of the permit as identified for the permit are violated, or if false information was provided in the application for initial issuance, renewal or transfer, the permit may be suspended or revoked by the Fisheries Director.
  - NC General Statute §113-221 requires the NC Division of Marine Fisheries to provide a current copy of the rules governing activities authorized by the license you are purchasing. You have the right to request a current rulebook in hardbound. The document is available on the Division of Marine Fisheries website on the "Rules and Regulations" webpage at <http://deq.nc.gov/dmf-rules>.
  - I have been provided a copy of the permit rules and conditions that apply. I acknowledge failure to abide by all permit rules as well as conditions may result in suspension or revocation of the permit. For violation of general or specific permit conditions, permits may be suspended or revoked for 10 days, 30 days, or up to 6 months depending on the 3-year history of violations.

**15A NCAC 03O .0502 Permit Conditions: General**

- It shall be unlawful to violate any permit condition.
- The following conditions shall apply to all permits issued by the Fisheries Director:
  - it shall be unlawful to:
    - operate under the permit except in areas, at times, and under conditions specified on the permit.
    - operate under a permit without having the permit or copy thereof in possession of the permittee or the permittee's designees at all times of operation and the permit or copy thereof shall be ready at hand for inspection, except for a Pound Net Set Permit.
    - operate under a permit without having a current picture identification in possession and ready at hand for inspection.
    - refuse to allow inspection and sampling of a permitted activity by an agent of the Division of Marine Fisheries.
    - fail to provide complete and accurate information requested by the Division in connection with the permitted activity.
    - provide false information in the application for initial issuance, renewal, or transfer of a permit.
    - hold a permit issued by the Fisheries Director if not eligible to hold any license required as a condition for that permit as stated in Rule .0501 of this Section.
    - fail to provide reports within the timeframe required by the specific permit conditions.
    - fail to keep such records and accounts as required by the rules in this Chapter for determination of conservation policy, equitable and efficient administration and enforcement, or promotion of commercial or recreational fisheries.
    - assign or transfer permits issued by the Fisheries Director, except for a Pound Net Set Permit as authorized by 15A NCAC 03J .0504.
  - the Fisheries Director or the Fisheries Director's agent may, by conditions of the permit, impose on a commercial fishing operation and for recreational purposes any of the following restrictions for the permitted purposes:
    - specify time;
    - specify area;
    - specify means and methods;
    - specify record keeping and reporting requirements;
    - specify season;
    - specify species;
    - specify size;
    - specify quantity;
    - specify disposition of resources;
    - specify marking requirements; and
    - specify harvest conditions.
  - unless specifically stated as a condition on the permit, all statutes, rules, and proclamations shall apply to the permittee and the permittee's designees.

I understand that any violation of permit conditions may result in suspension or revocation of the permit.

Signature of Applicant: \_\_\_\_\_ Date: \_\_\_\_\_

**NOTARY**

State: \_\_\_\_\_ County: \_\_\_\_\_

Sworn to and Subscribed before me this \_\_\_\_\_ day and year of \_\_\_\_\_, \_\_\_\_\_

Notary Public: \_\_\_\_\_ My Commission expires: \_\_\_\_\_



**North Carolina Department of Environmental Quality  
Division of Marine Fisheries  
AQUACULTURE INTRODUCTION, TRANSFER AND HOLD  
PERMIT SPECIFIC CONDITIONS FORM  
(REV 2024-12)**

<b>OFFICE USE ONLY</b>	Year _____
PID # _____	
PERMIT # _____	
DMF Staff: _____	

For violation of specific permit conditions (as specified on this form), permits may be suspended or revoked in accordance with 15A NCAC 03O .0504.

**Legal Authority:**

Authority for the Aquaculture Introduction, Transfer and Hold Permit is specified in G.S. 113-169.1, 15A NCAC 03I .0104, 03O .0501, and 03O .0502.

**Specific Permit Conditions:**

In order to protect the marine and estuarine resources of North Carolina from undesirable predators, pests, parasites, and disease, it is unlawful, without first obtaining a permit from the Fisheries Director or his agent:

To introduce into the coastal waters of the state live aquatic animals or plants not native to the state.

**15A NCAC 03I .0104(a)(1)**

To transfer into the coastal waters of the state species which are native, but which originated outside the state's boundaries.

**15A NCAC 03I .0104(a)(2)**

To hold or maintain any imported marine or estuarine organism in a quarantine or isolation system for brood stock or growout.

**15A NCAC 03I .0104(a)(3)**

To sell for bait any live marine or estuarine organism imported into the state. **15A NCAC 03I .0104(a)(4)**

The Fisheries Director may require periodic testing as deemed necessary to limit risks to native species associated with the specific introduced or transferred. It is unlawful to fail to have such tests conducted and results submitted to the Fisheries Director.

**15A NCAC 03O .0502(a)** (G.S. 113-169.1 and 15A NCAC 03I.0104)

It shall be unlawful for a permittee or, anyone engaged in permitted activity, to refuse to allow the Fisheries Director or their agents to obtain biological data, harvest information, statistical data, or harass these agents in any way. Harassment shall be defined consistent with the federal regulations, 50 CFR §600.725(o), (t), and (u), and may be verbal or physical including, but not limited to, sexual connotations, intimidating, resisting, impeding, threatening, bribing, or coercion. **15 NCAC 03O .0502(b)(1)** (G.S. 113-169.1)

I understand that any violation of permit conditions may result in suspension or revocation of the permit.

Signature: \_\_\_\_\_  
Permittee signature (**must be signed to be valid**)

\_\_\_\_\_ Date