



May 22, 2026

*IIJA 40101(d) – Grid Resilience and Reliability
Applicant Workshop*

Suzanne Morrison, Manager

40101(d) Program

NC State Energy Office



Introductions

Suzanne Morrison,
40101(d) Program
Manager

Joel Porter,
40101(d) Technical
and Policy Lead

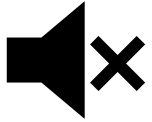
Housekeeping



Workshop is 60 minutes followed by Q & A



Meeting is being recorded



Participants are muted until the Q & A



Put questions in the chat



Recording and slide deck will be posted on the webpage



Questions from today included in Q & A on webpage

Agenda

01

**40101(d) Program
Overview & Current
Recipients**

02

**Program Objectives,
Eligibility & Exclusions**

03

**Funding & Federal
Requirements**

04

**Application, Attachments
and Submission**

05

**Application Scoring,
Notification and Contract
Considerations**

06

Next Steps



Agenda

01

**40101(d) Program
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40101(d) Program Overview

Purpose

Current Recipients

40101(d) Program Overview & Purpose

IIJA/BIL Section 40101(d). The Infrastructure Investment and Jobs Act (IIJA) section 40101(d) Grid Resilience Formula Grant Program improves resilience of the electric grid against disruptive events. Funding through US DOE.

Increase Reliability & Resiliency of the Electric Grid. Preventing electric from being disrupted, preventively shut off, or unable to operate safely due to extreme weather, wildfire, a natural disaster, aging infrastructure, etc.

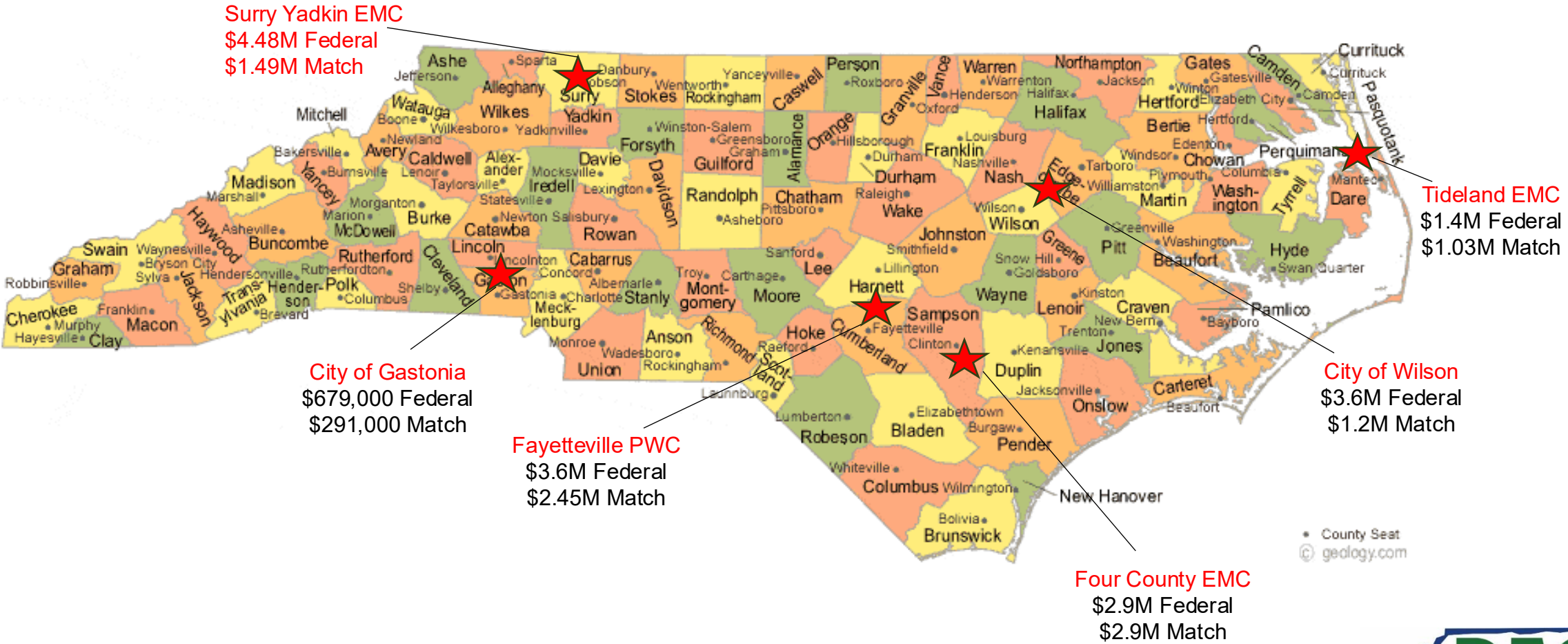
NCDEQ/SEO Formula Grant: *Preventing Outages While Enhancing the Resilience of the Electric Grid (POWER Up).* \$9.2M per year over 5 years. NCDEQ administers funding.

Second Funding Round. First round was for years 1 & 2 of 5 year grant. \$16.5M distributed to six subawardees. Second round: \$8.65M. Fifty percent – minimum – for small utilities

Expected Awards. NCSEO expects to award 3-7 projects, with projects ranging in size from approximately \$500,000 - \$3,000,000 each.



Current 40101 (d) Projects



Funding Objectives

Eligible Entities & Projects

Exclusions

NCSEO 40101(d) Objectives

Federal Objectives

Energy Resilience. Measurable improvements in energy resilience in the US and mitigate climate-related risk

Grid Modernization. Modernized grid infrastructure for lower-cost energy and increased electrification.

Clean Energy Integration. Invest in clean energy and decarbonization solutions to achieve a carbon-free power sector by 2035 and reduce greenhouse gas emissions by 2050,

Workforce Development. Create good-paying jobs.

NCSEO Preferences

Access to Resilient and Reliable Energy. Improve energy reliability and resiliency in communities disproportionately impacted by – or at greater risk of being impacted by – outages and subject to higher energy burdens.

Grid Modernization. The addition of grid technologies that strengthen resilience, modernize, and increase flexibility of the grid.

Workforce Development. Workforce development as a part of infrastructure projects, through attracting, training and retaining an appropriately skilled workforce.



Eligible Entities Include.....

- Electric grid operator
- Electricity storage operator
- Electricity generator
- Transmission owner or operator
- Distribution provider
- Fuel supplier
- Consortium of eligible utilities

**Applicants cannot be a current 40101(d) Subrecipient



Eligible Activities Include (but not limited to)

Objective: Improve resilience of the electric grid against disruptive events

- Weatherization technologies & equipment
- Fire-resistant technologies and fire prevention systems
- Monitoring and control technologies
- Undergrounding of electrical equipment
- Utility pole management
- Relocation or reconductoring of power lines with low-sag, advanced conductors
- Adaptive protection technologies
- Advanced modeling technologies
- Vegetation and fuel-load management
- New distribution power line reducing likelihood/consequence of disruptive events

- Distributed energy resources (DER's) to enhance system adaptive capacity during disruptive events including microgrids and battery-storage subcomponents
- Hardening of power lines, facilities, substations, and other systems
- Replacement of old overhead conductors and underground cables
- In combination with the above: training, recruitment, and/or reskilling of properly credentialed workers to perform work.



Ineligible Activities Include (but not limited to)

- Construction of a new electric generating facility
- Construction of a new large-scale battery-storage facility not used for enhancing system adaptive capacity during disruptive events
- Cybersecurity resilience measures
- Apprenticeship or training programs *without* an infrastructure component
- New transmission line
- Acquisition of land or easements

**Projects that focus on routine maintenance are discouraged; Recipients should prioritize activities that would not be completed without grant funding.



Funding Available Cost Match Federal & State Requirements

Federal Funding Available

- Approximately \$8.65 million available
- NCSEO expects to award 3-7 projects, with projects ranging in size from \$500,000 - \$3,000,000
- Small Utility Set Aside: *A minimum of 50% of funding will go to Small Utilities: $\leq 4,000,000$ MegaWatt Hours of electricity per year*



Cost Match Requirement

- Match can be cash and/or in-kind
- Match amount based on applicant electricity sales
 - Entities that sell $>4,000,000$ MWH of electricity per year *or* who do not sell electricity match at a minimum of 100% of the award value
 - Entities that sell $\leq 4,000,000$ MWH of electricity per year match at a minimum of one-third ($1/3$) of the subaward value
- Cost match must be necessary to the completion of the award project



Cost Match Example

If \$2,000,000 in Federal funds awarded to entity that sells *more than* 4,000,000 MWH electricity per year, the required “match” (at 100%) would be minimum of \$2,000,000. TOTAL SUBAWARD VALUE = \$4,000,000.

If \$1,500,000 in Federal funds to entity that sells *less than* 4,000,000 MWH electricity per year, the required “match” (at 33.33%) would be minimum of \$499,950. TOTAL SUBAWARD VALUE = \$1,999,500



State & Federal Requirements

Subawards subject to all applicable Federal & State and local rules including, but not limited to:

- Reporting – Monthly, Quarterly, Annual, Closeout
- Environmental Review
- Sam.gov Award Management System Registration
- 2 CFR Part 200 – Uniform Guidance or Federal Code of Regulations
- State requirements (procurement, monitoring, etc.)
- Project closeout April 30, 2032.
- Reimbursable grant with monthly submissions. Account for cost match + Federal dollars on monthly basis.
- Subaward completed within 30 days to avoid forfeiting award



State & Federal Requirements (continued)

DAVIS-BACON ACT (DBA) COMPLIANCE

- Requires contractors and subcontractors performing physical or manual labor to pay laborers and mechanics not less than the prevailing wage and fringe benefits for geographic location
- Training is required
- Submit weekly certified payroll via LCP tracker

BUILD AMERICA BUY AMERICA ACT (BABA) COMPLIANCE

- Iron, steel, manufactured products and construction materials produced in US
- Maintain certification of compliance
- Waivers



Preparing the Application Attachments Narrative Submission

Application Overview

- RFP, Instructions and Attachments are on the RFP webpage
- This presentation & slide deck will be posted on RFP webpage
- Q & A's – updated on rolling basis. Submit questions to seo.team@deq.nc.gov
- No calls or meetings with NCSEO staff– submit project-related questions online
- Application timeline posted in the RFP and online
- Any changes – will post on website & notify via email, using emails of people who registered for Workshop or submitted questions



Preparing the Application

Attachment A: Application Cover Page

Provide the following information in a document that will be uploaded as part of the 40101(d) proposal submission. Complete all the questions in the order listed. Use the numbers, headers and subheaders provided to organize the document. Do not leave any sections blank; if they do not apply, state "Not Applicable."

1. ENTITY INFORMATION. INCLUDE THE FOLLOWING INFORMATION

- Project Organization
- EIN & Tax ID Number
- SAM.GOV Number
- Organization Address
- Benefitted Community Census Tract(s)

2. CATEGORY OF APPLICANT. SELECT ALL THAT APPLY

- Electric grid operator
- Electricity storage operator
- Electricity generator
- Fuel supplier
- Transmission owners or operator
- Distribution provider
- Any Other Relevant Entity¹: Please list:

3. ENTITY SIZE. SELECT ONE

- Large Entity (sells more than 4,000,000 MWh/year OR sells no electricity)
- Small Entity (sells electricity, but no more than 4,000,000MWh/year)

4. ENTITY SERVICE AREA. INCLUDE THE FOLLOWING:

- County(ies) and/or region of NC served by entity
- Zip code(s)
- Size (square miles)

5. CONGRESSIONAL DISTRICT(S) SERVED BY ENTITY

6. NUMBER OF CUSTOMERS (IN METERS) SERVED BY THE ENTITY

7. SAIDI & SAIFI METRICS FOR THE ENTITY OVER THE PAST 3-5 YEARS (EXCLUDING MAJOR EVENTS)²

¹ Entities applying to this solicitation under the "any other relevant entity" category must be approved by the Secretary of the DOE prior to the application due date.

² SAIDI (System Average Interruption Duration Index) tracks the average total duration of outages per customer (in minutes) and SAIFI (System Average Interruption Frequency Index) tracks the average frequency of interruptions per customer.

Application Cover Sheet (Attachment A)

- Entity information
- Project information
- Description of service & project area
- Project summary
- Information on beneficiaries
- Assurances
- Signed by Authorized Representative.



Preparing the Application (continued)

Attachment B – Signature and Acceptance Form

NORTH CAROLINA DEPARTMENT OF ENVIRONMENTAL QUALITY (NCDEQ)
STATE ENERGY OFFICE (NCSEO)
REQUEST FOR PROPOSALS (RFP)
“POWER UP” - BIL 40101(D) PREVENTING OUTAGES AND ENHANCING THE RESILIENCE OF THE
ELECTRIC GRID IN NORTH CAROLINA

The undersigned is a duly Authorized Representative of the Applicant Entity named below. The undersigned has read and understands the RFP requirements and acknowledges and agrees that all the terms and conditions of the RFP are mandatory.

The undersigned acknowledges and agrees that (i) all materials submitted as part of the application are subject to disclosure under the North Carolina Public Records Law; (ii) that the NC State Energy Office (NCSEO) has no obligation, and retains the sole discretion (subject to requisite U.S. Department of Energy approval) to fund or choose not to fund the application set forth herein; (iii) that NCSEO's receipt of the application does not imply any promise of funding at any time; and (iv) that any guidance and technical assistance provided by NCSEO staff in support of the Applicant completing the application does not in any way obligate the NCSEO to fund the project.

By executing this proposal, the undersigned understands that false certification is a Class I felony and certifies that:

- this proposal is submitted competitively and without collusion (G.S. 143-54),
- none of its officers, directors, or owners of an unincorporated business entity has been convicted of any violations of Chapter 78A of the General Statutes, the Securities Act of 1933, or the Securities Exchange Act of 1934 (G.S. 143-59.2), and
- it is not an ineligible Vendor as set forth in G.S. 143-59.1.

Furthermore, by executing this proposal, the undersigned certifies to the best of Applicant's knowledge and belief, that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal or State department or agency.

As required by G.S. 143-48.5, the undersigned Applicant certifies that it, and each of its sub-Contractors for any Contract awarded as a result of this RFP, complies with the requirements of Article 2 of Chapter 64 of the NC General Statutes, including the requirement for each employer with more than 25 employees in North Carolina to verify the work authorization of its employees through the federal E-Verify system.

Page 1 of 2

Signature & Acceptance Form (Attachment B)

- Agreement with your entity
- Certifications & assurances
- Signed by Authorized Representative.



Preparing the Application

Instructions and Summary

Award Number: _____ **Date of Submission:** _____
Award Recipient: _____ **Form submitted by:** _____
(May be award recipient or sub-recipient)

Please read the instructions on each worksheet tab before starting. If you have any questions, please ask your DOE contact!

1. If using this form for award application, negotiation, or budget revision, fill out the blank white cells in workbook tabs a. through j. with total project costs. If using this form for invoice submission, fill out tabs a. through j. with total costs for just the proposed invoice and fill out tab k. per the instructions on that tab.
 2. Blue colored cells contain instructions, headers, or summary calculations and should not be modified. Only blank white cells should be populated.
 3. Enter detailed support for the project costs identified for each Category line item within each worksheet tab to autopopulate the summary tab.
 4. The total budget presented on tabs a. through j. **must include both Federal (DOE) and Non-Federal (cost match) portions.**
 5. All costs incurred by the preparer's sub-recipients, vendors, and Federal Research and Development Centers (FFRDCs), should be entered only in section f. Contractual. All other sections are for the costs of the preparer only.
 6. Ensure all entered costs are allowable, allocable, and reasonable in accordance with the administrative requirements prescribed in 2 CFR 200, and the applicable cost principles for each entity type: FAR Part 31 for For-Profit entities; and 2 CFR Part 200 Subpart E - Cost Principles for all other non-federal entities.
 7. Add rows as needed throughout tabs a. through j. If rows are added, formulas/calculations may need to be adjusted by the preparer. Do not add rows to the Instructions and Summary tab.
 8. **ALL application period cost categories are rounded to the nearest dollar.**

BURDEN DISCLOSURE STATEMENT
 Public reporting burden for this collection of information is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans, and Oversight, AD-241-2 - GTN, Paperwork Reduction Project (1910-5162), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget, Paperwork Reduction Project (1910-5162), Washington, DC 20503.

SUMMARY OF BUDGET CATEGORY COSTS PROPOSED
 The values in this summary table are from entries made in subsequent tabs, only blank white cells require data entry

Section A - Budget Summary	Federal Share	Cost Match	Total Project Costs	Cost Match % of Federal Share	Proposed Application Period Dates
Application Period	\$0	\$0	\$0	0%	Example!!! 01/01/2014 - 12/31/2014

Section B - Budget Categories	CATEGORY	Total Costs	% of Project	Comments (as needed)
a. Personnel		\$0	0.00%	
b. Fringe Benefits		\$0	0.00%	
c. Travel		\$0	0.00%	
d. Equipment		\$0	0.00%	
e. Supplies		\$0	0.00%	
f. Contractual				
Sub-recipient		\$0	0.00%	
Vendor		\$0	0.00%	
FFRDC		\$0	0.00%	
Total Contractual		\$0	0.00%	
g. Construction		\$0	0.00%	
h. Other Direct Costs		\$0	0.00%	
Total Direct Costs		\$0	0.00%	
i. Indirect Charges		\$0	0.00%	
Total Costs		\$0	0.00%	

Additional Explanation (as needed):

Budget & Justification (Attachment C)

- Multi-year budget and justification
- Federal form [SF-424A Budget Workbook](#)
- Follow instructions and tabs to create budget and justification



Preparing the Application (continued)

Attachment D – Project Narrative Guidance

General Instructions

- The Project Narrative should be no more than 20 single-spaced pages formatted with 1-inch margins. Use Times New Roman (12 point) or a similar font. For tables, a 10-point font can be used. Attachments, including the Optional Supporting Documents, are not included in the page count for the Project Narrative.
- Include a page number in the footer, and the entity and project name in the header.
- To organize your narrative, list the large headers (e.g. 'B. Entity Information') and numbered subheadings (e.g. '1. Describe the Entity and Customers Served').
- Respond to all questions. If a question does not apply, indicate this to avoid the impression the question was skipped.
- Responses to the questions are not confined to the questions/guidance under each numbered subheading. Feel free to expand upon any aspect of your entity or project that will highlight its strengths, the need for the project, the applicability of the technical approach, or the outcomes.
- Include up to three Optional Supporting Documents that supplement the narrative. See page 10 of the RFP for more information on what can be included.
- When the narrative is supported with additional documentation, clearly reference the document in the narrative to assist staff who are reviewing the application.

Project Narrative Outline

A. Project Summary¹

In 300 words or less, summarize the following:

- Statement of need
- Project location
- Project objectives
- Technical approach
- Alignment with SEO objectives
- Requested amount and cost match
- Start and end dates
- Planned outcomes

¹ Use the same project summary included in Attachment A, item 13.

Project Narrative (Attachment D)

- Detailed guidelines for content and formatting
- Create separate document with these questions – in order provided.



Preparing the Application (continued)

Cost Match Commitment Letter

- Letterhead and signed by the Authorized Representative
- Cost match value and percentage of the total award it represents
- Type (cash or in-kind) of the proposed cost match
- A statement the entity commits to providing the cost share
- Strategy for replacing the funds if they are significantly reduced or lost

Optional Supporting Documents

- Up to three documents
- Enhance, validate and provide deeper context for project, strengthen credibility, highlight the need or feasibility of a project
- Feasibility study, community development plan, images, maps, reports



Preparing the Application (continued)

Items Submitted with Application

- A – Applicant Cover Page
- B – Signature and Acceptance Form
- C – Budget Workbook and Justification
- Project Narrative
- Optional Supporting Documents

Additional Support Documents (Not Submitted)

- D- Project Narrative Guidelines
- E – Table of Metrics and Outcomes
- F – Annual Metrics and Outcomes Report Template
- G – Quarterly Report Template
- H – Scoring Matrix



The Narrative

Attachment D – Project Narrative Guidance

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- Start and end dates
- Planned outcomes

¹ Use the same project summary included in Attachment A, item 13.

GENERAL INSTRUCTIONS

- ≤20 single spaced pages
- Margin, formatting & font guidelines

A – PROJECT SUMMARY 300 words or less

- Statement of Need
- Project Location
- Project Objectives
- Technical Approach
- Alignment with SEO Objectives
- Funding Request + Cost Match
- Start and End Dates
- Planned Outcomes



The Narrative

B – ENTITY INFORMATION

1. Describe Entity and Customers Served
2. Describe Overall Infrastructure in Service Area
3. Other Energy Projects

C – PROJECT LOCATION & CUSTOMERS

1. Describe Project Area
2. Residential Customers – number of meters, rural or urban, DAC
3. Critical Facilities - hospitals, fire and police stations, water, communications
4. Key Businesses and/or Industries



The Narrative

D – INFRASTRUCTURE NEEDS & IMPACTS IN PROJECT AREA

1. Existing Infrastructure and Historical Performance – SAIDI, SAIFI CAIDI metrics + any other metrics
2. Impact of Weather or Other Hazards
3. Customer Impacts such as (but not limited to):
 - Health and Safety Risks
 - Major Disruptions in Essential Needs or Services
 - Significant Hardship or Community Isolation
 - Economic Disruptions



The Narrative

E - TECHNICAL SUMMARY, IMPLEMENTATION & BUDGET

1. Project Technical Summary –
 - Technologies, equipment, installation
 - Alternatives and potential risks
2. Project Implementation – Two Parts:
 - Workplan Table
 - Implementation Narrative – Phases, Monitoring, Staffing, Potential Risks
3. Project Budget and Justification – Two Parts
 - Attachment C – Budget and Justification – Upload Separately
 - Concise Budget Narrative



The Narrative

Table of Possible Impact Metrics

IMPACT CATEGORIES	POSSIBLE IMPACT METRICS
<i>Outages</i>	Largest outage cause
	Number of outages
	Hours to repair outages
	System Average Interruption Duration Index (SAIDI)
	Customer Average Interruption Duration Index (CAIDI)
	System Average Interruption Frequency Index (SAIFI)
	Customer Average Interruption Frequency Index (CAIFI)
	Number of individual customers with more than 5 interruptions
	Number of individual customer outages that extend beyond 24 hours
	Number of critical services with outages that extend beyond 24 hours
	Hours of unmet load
Average hours to restore 50% of customers	

<i>Customers Benefitted</i>	Number of residential customers benefitted by project
	Number of commercial customers benefitted by project
	Number of industrial customers benefitted by project
	Number of customers provided community services/emergency centers benefitted by project
	Number of customers provided communication services benefitted by project
	Number of customers provided energy supply benefitted by project (specify service)
	Number of customers provided transportation services benefitted by project
	Number of customers provided water services benefitted by project
	Number of customers provided food services benefitted by project

F. PROJECT OUTCOMES

1. Infrastructure and Customer Outcomes – refer to questions D1 – D3. Provide clear examples of anticipated improvements.
2. Tracking and Measuring – Use Attachment E to identify at least 3 impact measurements that will be tracked.

G. COMMUNITY ENGAGEMENT & WORKFORCE DEVELOPMENT

1. Community Activities and Engagement
2. Workforce Development



Submitting the Proposal

- **Submission Date and Time.** Applications accepted until 12 noon EST on June 26, 2026. Submit early in case of technical problems during submission.
- **Submission Format.** Email the documents. PDF or Excel file. Labelling convention. Submit to seo.team@deq.nc.gov. Mail or courier not accepted.
- **No Extensions.** Exception - Emergency Declarations and/or Major Disaster Declarations. This will be announced on the NCSEO RFP website.
- **Contact Information for Submission.** Direct questions about the submission to seo.team@deq.nc.gov. *If you experience technical issues during the submission process, contact Suzanne Morrison at (919) 745-9747*



Scoring & Notification Contract Process Project Kickoff

Review and Scoring Applications

ELIGIBILITY & COMPLETENESS CHECK

- Meet eligibility criteria
- Conform to application guidelines; application has all components
- Aligned with objectives
- Met deadline
- Minimum cost share

REVIEW & SCORE PROPOSALS

- Peer review process
- Total of 100 points possible
- Scoring criteria Attachment H



Review and Scoring Applications

100 POSSIBLE POINTS

- A. Project Summary - 0 Pts
- B. Entity Information - 5 Pts
- C. Project Location and Customers - 15 Pts
- D. Infrastructure Needs & Impacts - 25 Pts
- E. Technical Summary, Workplan and Budget - 30 Pts
- F. Impacts and Outcomes - 15 Pts
- G. Community Engagement & Workforce Development - 10 Pts



Selection & Notification



Submit
Proposal



Proposal
Review



NCSEO
Approval



DOE
Approval



Signed
Contract



Begin
Work



Contracting Process & Project Kickoff

- **SIGNED CONTRACT OBLIGATES FUNDS.** No work before grant agreement is fully executed. Additional documentation will be required.
- **FINALIZE IN ONE MONTH.** Contract must be finalized within one month. After which NCSEO may move onto the next qualified applicant.
- **TEAM KICKOFF MEETING.** Date to be determined. NCSEO will review requirements, billing, compliance (BABA & DBA), reporting.
- **TEAM TECHNICAL ASSISTANCE.** One-on-One team meetings in first month.



Next Steps

Next Steps

- **SYSTEM OF AWARD MANAGEMENT (SAM.gov).** Register with the SAM at <https://www.sam.gov> prior to submitting an application.
- **UEI.** Obtain an UEI from the SAM – required for application. If you already have UEI for another Federal grant, you do not need to do this step again.
- **SUBMIT QUESTIONS.** Send via questions via email to seo.team@deq.nc.gov
- **REVIEW SLIDE DECK & APPLICANT WORKSHOP. Posted online next week.**
- **CHECK BACK ON RFP PAGE.** Will post updates to timeline, new Q & A's, etc.
- **REVIEW NCDEQ/SEO RESOURCES:** [the North Carolina Clean Energy Plan](#), the [North Carolina Energy Security Plan](#), and the [North Carolina Climate Risk Assessment and Resilience Plan](#).



Questions and Answers



Thank you

Suzanne Morrison 40101(d) Project Manager

40101(d) Project Manager

North Carolina Department of Environmental Quality

State Energy Office

suzanne.morrison@deq.nc.gov (919) 745-9747