



The PPAR α -dependent rodent liver tumor response is not relevant to humans: addressing misconceptions

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Abstract

A number of industrial chemicals and therapeutic agents cause liver tumors in rats and mice by activating the nuclear receptor peroxisome proliferator-activated receptor α (PPAR α). The molecular and cellular events by which PPAR α activators induce rodent hepatocarcinogenesis have been extensively studied elucidating a number of consistent mechanistic changes linked to the increased incidence of liver neoplasms. The weight of evidence relevant to the hypothesized mode of action (MOA) for PPAR α activator-induced rodent hepatocarcinogenesis is summarized here. Chemical-specific and mechanistic data support concordance of temporal and dose–response relationships for the key events associated with many PPAR α activators. The key events (KE) identified in the MOA are PPAR α activation (KE1), alteration in cell growth pathways (KE2), perturbation of hepatocyte growth and survival (KE3), and selective clonal expansion of preneoplastic foci cells (KE4), which leads to the apical event—increases in hepatocellular adenomas and carcinomas (KE5). In addition, a number of concurrent molecular and cellular events have been classified as modulating factors, because they potentially alter the ability of PPAR α activators to increase rodent liver cancer while not being key events themselves. These modulating factors include increases in oxidative stress and activation of NF-kB. PPAR α activators are unlikely to induce liver tumors in humans due to biological differences in the response of KEs downstream of PPAR α activation. This conclusion is based on minimal or no effects observed on cell growth pathways and hepatocellular proliferation in human primary hepatocytes and absence of alteration in growth pathways, hepatocyte proliferation, and tumors in the livers of species (hamsters, guinea pigs and cynomolgus monkeys) that are more appropriate human surrogates than mice and rats at overlapping dose levels. Despite this overwhelming body of evidence and almost universal acceptance of the PPAR α MOA and lack of human relevance, several reviews have selectively focused on specific studies that, as discussed, contradict the consensus opinion and suggest uncertainty. In the present review, we systematically address these most germane suggested weaknesses of the PPAR α MOA.

Keywords Human relevancy framework · Key events · Liver cancer · Mode of action · NF-kB · Oxidative stress · Peroxisome proliferator-activated receptor α (PPAR α)

Abbreviations

CAR Constitutive activated receptor
DEHP Di-(2-ethylhexyl)phthalate
DINP Diisononyl phthalate

KE Key event
MOA Mode of action
PPAR α Peroxisome proliferator-activated receptor α
PPAR β Peroxisome proliferator-activated receptor β

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PPAR γ	Peroxisome proliferator-activated receptor γ
PFHxS	Perfluorohexanesulfonic acid
PFNA	Perfluorononanoic acid
PFOA	Perfluorooctanoic acid
PFOS	Perfluorooctane sulfonate
PPREs	Peroxisome proliferator response elements
TNF	Tumor necrosis factor
WY	WY-14,643
IL	Interleukin
miRNA	MicroRNA
TGF	Tumor growth factor
ROS	Reactive oxygen species
ACO	Acyl CoA oxidase
EMSA	Electrophoretic mobility shift assays
TCA	Trichloroacetate
TCE	Trichloroethylene
DEN	Diethylnitrosamine
APFO	Ammonium perfluorooctanoate
DEHA	Bis(2-ethylhexyl) adipate
CPDB	Carcinogenic potency database
ED50	Effective dose, 50
RCT	Randomized controlled trials
RR	Relative risk
CI	Confidence interval
MEHP	Mono-(2-ethylhexyl) phthalate

Background

Published reports in the 1970s linked treatment of rodents with a variety of seemingly structurally diverse chemicals to increased incidence of hepatocellular adenomas and carcinomas. Because all these compounds increased the number and size of peroxisomes, they were originally termed “peroxisome proliferators” (reviewed in Rao and Reddy 1996). Found in almost all eukaryotic cells, peroxisomes are subcellular organelles involved in (among many functions) long-chain fatty acid catabolism through the β - and/or ω -oxidation cycle (de Duve 1996). In responsive species, peroxisomes increase in number and/or size following exposure to physiological and metabolic stressors, especially those that perturb fatty acid homeostasis. Chemicals that induce peroxisome proliferation in the rodent liver include several experimental (WY-14,643 (WY; also called pirinixic acid)) and marketed pharmaceutical agents (clofibrate, gemfibrozil, fenofibrate, nafenopin, bezafibrate, and ciprofibrate) as well as environmentally relevant compounds such as phthalate ester plasticizers or their metabolites (di(2-ethylhexyl) phthalate (DEHP)), pesticides (2,4-dichlorophenoxyacetic acid), solvents (perchloroethylene, trichloroethylene) and other industrial chemicals (perfluorooctanoic acid (PFOA)) (additional chemicals that cause peroxisome proliferation and associated responses are found in Klaunig et al. 2003

and Judson et al. 2010). In addition to the increased occurrence of hepatic tumors, chronic exposure of rats and mice to peroxisome proliferators is linked to several hepatic adaptive responses, including hepatocellular hypertrophy and hyperplasia, changes in apoptosis rates, and oxidative stress (Corton et al. 2014).

The seminal identification of a previously uncharacterized “orphan” nuclear receptor, the peroxisome proliferator-activated receptor α (PPAR α), led to the discovery that many chemicals, despite their structural diversity, mediate at least some of their transcriptional effects through this receptor (Issemann and Green 1990). PPAR α along with two family members PPAR β/δ and PPAR γ possess the typical structure of a nuclear receptor including DNA-binding and ligand-binding domains. The three subtypes possess different but sometimes overlapping expression patterns, subcellular distributions, ligand specificities, and biological functions. PPAR α is expressed in metabolically active tissues, including the liver, kidney, brown fat and heart, which exhibit pleiotropic responses to peroxisome proliferators. An understanding of the biological functions and role in chemical effects of PPAR α has been facilitated by the use of a mouse model that lacks a functional PPAR α (the *Ppara*-null mouse) (Lee et al. 1995). Many of the effects of peroxisome proliferators have been shown to be mediated by PPAR α as these effects are not observed in similarly treated *Ppara*-null mice. This includes the regulation of a large battery of genes that in turn regulate lipid catabolism, lipid transport, and peroxisome proliferation (Kersten 2014), cellular effects that lead to hepatomegaly including alteration in hepatocyte fate (Corton et al. 2014), and many other normal, physiological effects.

The mechanism by which PPAR α regulates gene expression is similar to other nuclear receptors. PPAR α is functional when heterodimerized with another nuclear receptor family member, retinoid X receptor (RXR), the receptor for 9-*cis*-retinoic acid. The PPAR α -RXR heterodimer binds to peroxisome proliferator response elements (PPREs), usually found in the promoter or enhancer regions of genes regulated by PPAR α . The PPRE consensus sequence consists of the sequence 5'-AACT AGGTCA A AGGTCA-3' (or variant), with PPAR α occupying the 5' position. Binding of ligand bound PPAR α -RXR heterodimers to PPREs in chromatin is dynamic, because there are fluctuating endogenous ligands present in most cells that cause binding. Another level of regulation is through co-repressor proteins that dissociate from PPAR α upon ligand binding coincident with recruitment of the transcriptional machinery (Escher and Wahli 2000; Gottlicher et al. 1992). Importantly, the expression level of PPAR α in the cell, the presence or absence of endogenous/exogenous ligands, and the availability of chromatin for receptor binding are all under constant dynamic regulation.

In this review, “PPAR α activator” is used in place of the more traditional but outdated term “peroxisome proliferator” to denote the central role PPAR α plays in mediating the pleiotropic effects of these compounds. “Activator” is used in place of the more commonly used “agonist” as very few compounds have been shown to activate PPAR α through direct binding. PPAR α activators are here defined as those chemicals or their proximate metabolites that interact directly or indirectly with PPAR α . There is evidence for indirect interactions that require metabolic activation (e.g., DEHP) or activate PPAR α secondary to increases in the availability of natural ligands through perturbation of lipid homeostasis (Luebker et al. 2002).

Comprehensive reviews of the underlying mode of action (MOA) for PPAR α -mediated rodent liver cancer and the relevance of the rodent MOA to human risk have been published (Klaunig et al. 2003; Corton et al. 2014). The MOA is defined as a biologically plausible sequence of key events (KEs), starting with interaction of an agent with a molecular target, proceeding through cellular and physiological changes ultimately resulting in an observed biological effect, supported by robust experimental observations and mechanistic data. The MOA describes key molecular, biochemical or cytological events that are both measurable and necessary for the observed adverse effect (Sonich-Mullin et al. 2001). A KE is defined as “an empirically observable precursor step that is itself a necessary element of the MOA or is a biologically based marker for such an element” (US EPA, 2005). The two aforementioned reviews (Klaunig et al. 2003; Corton et al. 2014) on the role of PPAR α in liver cancer were the consensus of lengthy literature synthesis and debate among many stakeholders including those from industry, academia, and regulatory agencies. The analysis of the MOA included assessment of the associations between the KEs and liver tumor formation with respect to: (1) strength, consistency and specificity, (2) temporal relationships between the KEs and the liver tumors, (3) the dose–response aspects of the KEs, biological plausibility and coherence of the KEs, and (4) evaluation of possible alternative MOAs (Boobis et al. 2008; Julien et al. 2009; Meek 2008). The participants in these efforts uniformly agreed that there was enough information to conclude that there is an established MOA for rodent liver tumor induction by PPAR α activators (Klaunig et al. 2003; Corton et al. 2014), and that the MOA is either “not relevant” or “not likely to be relevant” to humans (Corton et al. 2014).

Since the publication of the Klaunig et al. (2003) review, two additional reviews were published discussing the MOA and human relevance of liver tumor induction by PPAR α activators (Kesheva and Caldwell 2006; Guyton et al. 2009). Guyton et al. argued that KEs in the rodent MOA are neither necessary nor sufficient and alternative MOAs should be considered. Much of their argument was based on two

studies (Ito et al. 2007; Yang et al. 2007), which appeared to contradict the MOA. A number of primary studies and review articles have cited the Guyton et al. analysis of the Ito et al. (2007) study as evidence that the PPAR α -dependent MOA lacks a scientific basis and more specifically, DEHP causes liver cancer through a PPAR α -independent mechanism (Benninghoff et al. 2011; Caldwell 2012; Gentry et al. 2011; Henkler et al. 2010; Paziienza et al. 2012; Polvani et al. 2014; Rigden et al. 2015; Romagnolo et al. 2014; Steenland et al. 2010; Tateno et al. 2015).

In the present review, we describe the KEs in the PPAR α -mediated liver cancer MOA and summarize the large body of data which overwhelmingly supports the rodent MOA by PPAR α activators for multiple chemicals. To assist in the evaluation of the evidence that a chemical may cause cancer through this MOA, we examine the criticisms of the established MOA as detailed in the two aforementioned review articles (Kesheva and Caldwell 2006; Guyton et al. 2009).

The MOA for PPAR α -mediated liver cancer

A previously published consensus for a hypothesized MOA proposed a series of KEs that must occur for PPAR α activators to increase the incidence of hepatocellular adenomas and carcinomas in mice and rats (Klaunig et al. 2003). This MOA was reexamined in a more recent review of studies published since 2003, which included those that mechanistically determined the interdependency of the KEs (Corton et al. 2014). The overlapping KEs identified in these two reviews included activation of PPAR α by PPAR α activators (KE1), alteration in cell growth pathways (KE2), alteration in hepatocyte growth including effects on proliferation and apoptosis (KE3), and clonal expansion of preneoplastic initiated hepatocytes (KE4) which leads to increases in hepatocellular adenomas and carcinomas (KE5). In the more recent analysis, a number of molecular changes previously termed associative events were described as modulating factors. Associative events are “biological processes that are themselves not causally necessary KEs for the MOA, but are reliable indicators or markers for KEs.” (Corton et al. 2014). Associative events can be used as surrogate markers for a KE in an MOA evaluation or as indicators of exposure to a xenobiotic that has stimulated a KE. In the context of the PPAR α MOA, these include regulation of genes involved in lipid metabolism and peroxisome proliferation, which have been used as markers of PPAR α activation. Modulating factors are defined as those that “could modulate the dose–response behavior or probability of inducing one or more KEs or the adverse outcome.” The modulating factors considered were increases in oxidative stress and activation of the transcription factor NF- κ B. Below, we review the evidence supporting the KEs in the MOA.

Key event 1-PPAR α activation

The activation of PPAR α leads to the downstream KEs that culminate in liver cancer. Chemical-specific data show excellent concordance among PPAR α activation, the KEs in the MOA, and liver cancer (see Figs. 1 and 2 for examples of 10 PPAR α activators in rats and mice, respectively). There is overwhelming evidence that PPAR α activation is the initiating event in the PPAR α activator MOA for liver tumor induction. Activation of PPAR α can be assessed by trans-activation assays (Corton et al. 2000) or by measuring associative events, which can include increased expression of genes involved in fatty acid β -oxidation or peroxisome proliferation, increased palmitoyl-CoA oxidase activity, or peroxisome proliferation itself in hepatocytes. The potency of PPAR α activation is roughly proportional to the potency of the chemical as an inducer of the liver tumor response (summarized in Klaunig et al. 2003 and discussed below). Importantly, all studies using *Ppara*-null mice do not show hepatocyte-specific changes associated with the PPAR α MOA, indicating the requirement of the activation of this nuclear receptor to mediate these events (Fig. 3).

Transcript profiling has been used to comprehensively determine whether PPAR α is required to alter gene expression. Alterations of gene expression by WY were almost completely abolished in the livers of *Ppara*-null mice at multiple time points (Anderson et al. 2004a, b; Corton et al. 2004; Rosen et al. 2008a, b; Woods et al. 2007c). The hypolipidemic drug, fenofibrate, required PPAR α for 99% of the gene expression changes in the mouse liver (Sanderson et al. 2008). Four perfluorinated compounds (PFHxS, perfluorohexanesulfonic acid; PFNA, perfluorononanoic acid; PFOA, perfluorooctanoic acid; PFOS, perfluorooctane sulfonate) as well as the phthalate ester, DEHP have been examined by microarrays in wild-type and *Ppara*-null mice. The results indicate that ~76–94% of the genes were regulated in a PPAR α -dependent manner (Ren et al. 2009, 2010; Rosen et al. 2008a, b, 2010, 2017). The genes that were dependent on PPAR α included those involved in lipid homeostasis and the cell cycle. PPAR α -independent genes often included those regulated by another nuclear receptor, constitutive activated/androstane receptor (CAR) (discussed in greater detail below).

Mouse studies showed that increased hepatocyte proliferation after PPAR α activator exposure was PPAR α -dependent. The compounds examined included WY, diisononyl phthalate (DINP), and trichloroethylene (TCE). In each case, wild-type mice showed increases in hepatocyte proliferation, which was abolished in the *Ppara*-null mice (Laughter et al. 2004; Peters et al. 1998; Valles et al. 2003). PFOA at 10 mg/kg/day produced increases in hepatocyte proliferation in both wild-type and *Ppara*-null mice (Wolf et al. 2008). We discuss below how PFOA may cause cell

proliferation in *Ppara*-null mice through activation of CAR. Additionally, suppression of apoptosis occurred in primary hepatocytes isolated from wild-type mice but not from *Ppara*-null mice (Hasmall et al. 2000a).

Chronic treatment with WY or bezafibrate produced hepatocellular neoplasia in 100% of wild-type mice while there were no significant increases in the number of liver neoplasms in *Ppara*-null mice (Hays et al. 2005; Peters et al. 1997). These two studies provide strong support for the causal role of PPAR α activation in rodent carcinogenesis by PPAR α activators. A third bioassay with DEHP in wild-type and *Ppara*-null mice (Ito et al. 2007) showed equivocal results and is discussed below.

Key event 2-alteration in cell growth pathways

Many studies have been carried out to identify the mechanistic events that lead to alterations in cell growth by PPAR α activators. Increases in the expression of cyclins and or c-Myc have been observed in the livers of rats (Ma et al. 1997; Rininger et al. 1996; Amacher et al. 1997; Jolly et al. 2005; Gill et al. 1998a; Perrone and Williams 1998; Urbanek-Olejnik et al. 2016) and mice (Peters et al. 1998; Wolf et al. 2008; Lee and Lim, 2011; Calfee-Mason et al. 2008; Nelson et al. 1990) treated with different PPAR α activators (Figs. 1, 2). There is support for two non-exclusive mechanisms linking PPAR α activation to hepatocyte proliferation. The first mechanism involves the activation of non-parenchymal cells (NPCs), particularly Kupffer cells. Once activated Kupffer cells produce and secrete cytokines such as tumor necrosis factor α (TNF α), interleukin-1 α (IL-1 α), and interleukin-1 β (IL-1 β) that affect hepatocyte fate (Grivennikov and Karin 2011). The level of TNF α mRNA more than doubled in response to PPAR α activators in two studies (Bojes et al. 1997; Rolfe et al. 1997) but did not change in other acute studies (Anderson et al. 2001; Holden et al. 2000). One study showed that PPAR α activators increased the level of TNF α protein by bioactivation or by releasing existing TNF α protein from Kupffer cells (Holden et al. 2000). TNF α by itself increased proliferation and decreased apoptosis in cultured rodent hepatocytes (Holden et al. 2000; Rolfe et al. 1997). Hepatocyte proliferation can be prevented in vivo by pretreatment with antibodies to either TNF α (Bojes et al. 1997; Rolfe et al. 1997) or TNF α receptor 1 (West et al. 1999).

Experiments in which hepatocytes are cultured with or without Kupffer cells provide additional evidence that activated Kupffer cells play a role in the proliferative response of hepatocytes to PPAR α activators. In vitro studies have been carried out in which primary hepatocyte cultures exposed to PPAR α activators were assessed for cell proliferation by themselves or in the presence of Kupffer cells. Highly purified hepatocyte cell cultures lacking Kupffer cells did not

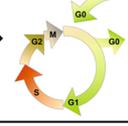
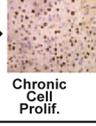
Chemical	KE1:	KE2:	KE3:			KE4:	Apical Endpoint
	PPAR α Activation 	Alteration of Cell Growth Pathways 	Perturbation of Cell Growth and Survival 			Clonal Expansion 	Liver Tumors 
WY-14,643	↑ ¹	↑ ^{7,9,53,54} NC ⁸	↑ ²	↑ ⁴	↓ ³	↑ ⁵	↑ ⁶
DEHP	↑ ¹⁰	↑ ¹⁴ NC ¹⁵	↑ ¹¹	↑ ⁵² NC ¹³	↓ ¹²		↑ ¹⁴
Clofibrate	↑ ¹⁶	↑ ^{20,55,56} NC ²¹	↑ ¹⁷	↑ ¹⁸			↑ ¹⁹
Nafenopin	↑ ²²	↑ ^{27,57} NC ^{28,29}	↑ ⁶	↑ ²⁴ NC ⁶	↓ ²³	↑ ²⁵	↑ ²⁶
Ciprofibrate	↑ ²²	↑ ^{34,35,58}	↑ ³⁰	↑ ³¹		↑ ³²	↑ ³³
Methyl Clofenapate		↑ ³⁹ NC ⁴⁰	↑ ³⁶	↑ ³⁸	↓ ³⁷		↑ ³⁹
Gemfibrozil (CI-718)	↑ ²²	↑ ^{42,43,55}	↑ ⁵⁷			NC ⁴¹	↑ ⁴¹ NC ⁴¹
Di-n-butyl phthalate	NC ¹⁰	↑ ^{43,44,59}					
Trichloroacetate	↑ ⁵⁰						NC ⁴⁵
Perfluorooctanoate	↑ ⁵¹	↑ ⁴⁸ NC ⁴⁹	↑ ⁴⁶				↑ ⁴⁷

Fig. 1 Occurrence of Key Events in the PPAR α Mode of Action (MOA) in Rats. Activation of PPAR α leads to a number of key events in the PPAR α MOA including alteration of cell growth pathways, perturbation of cell growth and survival, clonal expansion, and increases in hepatocellular adenomas and carcinomas. While the precise mechanism for the induction of cell proliferation has not been elucidated, there is mechanistic evidence that increases in oxidative stress and activation of NF- κ B play roles. A workgroup classified these molecular changes as “modulating events” as the strength of the evidence did not rise to the level of a key event (Corton et al. 2014). These modulating events along with increases in the expression of c-Myc and cell cyclins are grouped under the key event “Alteration of cell growth pathways”. The Table below illustrates the body of data supporting the PPAR α MOA for a number of PPAR α activators. An upward pointing arrow indicates that the chemical was found to lead to the KE/endpoint. A downward pointing arrow indicates suppression of the KE/endpoint (e.g., apoptosis). NC (no change) indicates that the chemical did not change the KE/endpoint. The cell cycle picture in this figure and other figures in this review came from Science Slides, Version 2014, VisiScience Inc., Chapel Hill, N.C. Footnotes: ¹Corton and Lapinskas (2005), Gottlicher et al. (1992); ²Wada et al. (1992) (lipofuscin), Marsman et al. (1992), (1988), Lake et al. (1993); ³Youssef et al. (2003); ⁴Wada et al. (1992), Marsman et al. (1988), (1992), Lake et al. (1993); ⁵Marsman and Popp (1994), Rose et al. (1999b); ⁶Lake et al. (1993); ⁷Fischer et al. (2002), Wada et al. (1992), Marsman et al. (1992), Conway et al. (1989), Reddy et al. (1982), Rao et al. (1982), Goel et al. (1986), O’Brien et al. (2001b); ⁸Soliman et al. (1997), Fischer et al. (2002); ⁹Rusyn et al. (2000), (1998), Tharappel et al. (2001), Fischer et al. (2002); ¹⁰Corton and Lapinskas (2005); ¹¹Marsman et al. (1988), Smith-Oliver and Butterworth (1987), Isenberg et al. (2000), Hasmall et al. (2000b), Soames et al. (1999), Busser and Lutz (1987), Hasmall and Roberts (2000);

¹²Hasmall et al. (2000b); ¹³Cattley et al. (1987), Marsman et al. 1988; ¹⁴Conway et al. (1989), Cattley et al. (1987), Rao et al. (1987), Lake et al. (1987), Hinton et al. (1986), Seo et al. (2004), Isenberg et al. (2001), Thottassery et al. (1992), Kluwe et al. (1982), (1985); ¹⁵Conway et al. (1989), Tomaszewski et al. (1990), Seo et al. (2004); ¹⁶Gottlicher et al. (1992); ¹⁷Marsman et al. (1992), Tanaka et al. (1992), Barrass et al. (1993), Busser and Lutz (1987), Armacher et al. (1997); ¹⁸Marsman et al. (1992); ¹⁹Reddy and Qureshi (1979), Svoboda and Arzarnoff (1979); ²⁰Reddy et al. (1982), Lake et al. (1987), Stanko et al. (1995), Elliott and Elcombe (1987); ²¹Marsman et al. (1992), Tomaszewski et al. (1990); ²²Corton et al. (2000); ²³(James and Roberts 1996a), (b), Bursch et al. (1984); ²⁴Price et al. (1992); ²⁵Schulte-Hermann et al. (1981); ²⁶Lake et al. (1993), Reddy and Rao (1977), Abdellatif et al. (1990); ²⁷Reddy et al. (1982), Lake et al. (1989a), Tomaszewski et al. (1990); ²⁸Huber et al. (1991), (1997); ²⁹Menegazzi et al. (1997), Ohmura et al. (1996); ³⁰Yeldandi et al. (1989), Chen et al. (1994); ³¹Yeldandi et al. (1989); ³²Chen et al. (1994); ³³Rao et al. (1986); ³⁴Rao et al. (1991), Goel et al. (1986); ³⁵Calfee-Mason et al. (2004), Li et al. 1996; ³⁶Barrass et al. (1993), Styles et al. 1990, Hasmall and Roberts 2000; ³⁷Plant et al. (1998); ³⁸Barrass et al. (1993); ³⁹Reddy et al. (1982); ⁴⁰Elliott and Elcombe (1987); ⁴¹Fitzgerald et al. (1981); ⁴²O’Brien et al. (2001b); ⁴³Tharappel et al. (2001); ⁴⁴Marsman (1995), Seo et al. (2004), O’Brien et al. (2001b); ⁴⁵DeAngelo et al. (1989), (1997); ⁴⁶Thottassery et al. (1992), Alsarra et al. (2006); ⁴⁷Abdellatif et al. (1990), Abdellatif et al. (1991), Biegel et al. (2001); ⁴⁸Cai et al. (1995), Kawashima et al. (1994); ⁴⁹Handler et al. (1992), Kawashima et al. (1994); ⁵⁰Corton (2004); ⁵¹Amacher et al. (1997); ⁵²Marsman et al. (1988); ⁵³Ma et al. (1997); ⁵⁴Rininger et al. (1996); ⁵⁵Amacher et al. (1997); ⁵⁶Jolly et al. (2005); ⁵⁷Gill et al. (1998a), (b); ⁵⁸Perrone and Williams (1998); ⁵⁹Urbanek-Olejnik et al. (2016)

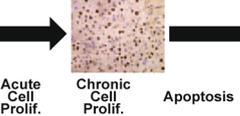
Chemical	KE1:	KE2:	KE3:	KE4:	Apical Endpoint
	PPAR α Activation	Alteration of Cell Growth Pathways	Perturbation of Cell Growth and Survival	Clonal Expansion	Liver Tumors
					
WY-14,643	↑ ¹	↑ ^{2,35,38,39}	↑ ³⁴ ↑ ³⁶		↑ ³²
DEHP	↑ ^{3,5}	↑ ^{14,40}	↑ ⁴ ↑ ³⁷		↑ ³³
Clofibrate	↑ ⁶	↑ ⁸ NC ⁹	↑ ⁷		NC ²⁹
Nafenopin	↑ ¹⁰	↑ ¹²		NC ¹¹	↑ ³¹
Ciprofibrate	↑ ¹³	↑ ^{15,41}	↑ ¹⁴		↑ ²⁸
Methyl Clofenapate	↑ ⁶		↑ ¹⁶		↑ ³⁰
Gemfibrozil (CI-718)	↑ ¹³			NC ¹⁷	NC ¹⁷
Di-n-butyl phthalate	NC ¹⁸	↑ ^{19,20}			
Trichloroacetate	↑ ⁶	↑ ^{24,42}	↑ ²¹	↑ ²²	↑ ²³
Perfluorooctanoate	↑ ²⁵	↑ ^{26,39} NC ²⁷	↑ ³⁹		

Fig. 2 Occurrence of Key Events in the PPAR α Mode of Action (MOA) in Mice. See Fig. 1 legend for description of the events in the MOA. The Table illustrates the body of data supporting the PPAR α MOA for a number of PPAR α activators in mice. An upward pointing arrow indicates that the chemical was found to lead to the KE/endpoint. A downward pointing arrow indicates suppression of the KE/endpoint (e.g., apoptosis). NC (no change) indicates that the chemical did not change the KE/endpoint. Footnotes: ¹Bility et al. (2004), Corton and Lapinskas (2005), Gottlicher et al. (1992), Woods et al. (2007c); ²Rusyn et al. (2000); ³Bility et al. (2004), Corton and Lapinskas (2005), Issemann and Green (1990); ⁴Isenberg et al. (2000); ⁵Isenberg et al. (2001); ⁶Issemann and Green (1990); ⁷Busser and Lutz (1987); ⁸Cai et al. (1995), Elliott and Elcombe (1987), Qu et al. (2000), Dostalek et al. (2008); ⁹Nicholls-Grzemeski et al. (2000); ¹⁰Issemann and Green (1990), Corton et al. (2000); ¹¹James and Roberts (1996a), (b); ¹²Reddy et al. (1982), Cai et al. (1995); ¹³Cor-

ton et al. (2000); ¹⁴Dwivedi et al. (1989); ¹⁵Nilakantan et al. (1998), Tharappel et al. (2003); ¹⁶Styles et al. 1990; ¹⁷Fitzgerald et al. (1981); ¹⁸Bility et al. (2004), Corton and Lapinskas (2005); ¹⁹Marsman (1995); ²⁰Tharappel et al. (2001), Kim et al. (2004); ²¹Stauber and Bull (1997), Dees and Travis (1994); ²²Stauber and Bull (1997); ²³Bull et al. (2002), Herren-Freund et al. (1987); ²⁴Bull et al. (1990), Austin et al. (1995); ²⁵Vanden Heuvel et al. (2006), Takacs and Abbott (2007), Rosen et al. (2008a, b), Ren et al. (2009); ²⁶Cai et al. (1995); ²⁷Permadi et al. (1993); ²⁸Rao et al. (1988); ²⁹Nesfield et al. (2005a), (b); ³⁰Tucker and Orton (1995); ³¹IARC (1996); ³²Reddy et al. (1979)b, Peters et al. (1997); ³³David et al. (1999), Kluwe et al. (1982), (1985); ³⁴Woods et al. (2007c), Peters et al. (1997), (1998); ³⁵Woods et al. (2007c); ³⁶Peters et al. (1998); ³⁷Ward et al. (1988) (evidence for cytotoxicity at the high dose); ³⁸Peters et al. (1998); ³⁹Wolf et al. (2008); ⁴⁰Lee and Lim (2011); ⁴¹Calfee-Mason et al. (2008); ⁴²Nelson et al. (1990)

exhibit a proliferative response seen in cultures containing NPCs exposed to the PPAR α activators WY and nafenopin. Chemical-induced proliferation was restored by adding back the Kupffer cells to the culture or by adding media from cultured Kupffer cells treated with PPAR α activators (Hasmall et al. 2000a; Parzefall et al. 2001). These studies support a model in which soluble factors from the Kupffer cells are crucial for hepatocyte proliferation after PPAR α activator exposure. In contrast, there is one study that did not show a requirement for Kupffer cells or growth factors derived from Kupffer cells for the proliferation of hepatocytes after exposure to PPAR α activators (Plant et al. 1998). It should be noted that in this study, there was no reported information

about the level of purity of the hepatocyte preparation that was used, leaving open the possibility that these cultures may have contained Kupffer cells.

Studies with *Ppara*-null mice showed that PPAR α activation is required for hepatocyte-specific changes associated with exposure to PPAR α activators (Christensen et al. 1998; Hasmall et al. 2000b; Lee et al. 1995; Peters et al. 1997, 1998). A conundrum is that while there is evidence that Kupffer cells are required for the cell proliferation response, Kupffer cells do not express detectable levels of PPAR α but do express PPAR β/δ and PPAR γ (Peters et al. 2000). Kupffer cells from *Ppara*-null mice restored the proliferative response to PPAR α activators of isolated hepatocytes

Chemical	KE1:	KE2:	KE3:	KE4:	Apical Endpoint
	PPAR α Activation	Alteration of Cell Growth Pathways	Perturbation of Cell Growth and Survival	Clonal Expansion	Liver Tumors
LAP-VP16PPAR α	↑ ¹	NM	↑ ¹	↑ ¹	NC ¹
Humanized PPAR α (TRE-hPPAR α)	↑ ²	NC ^{6,8}	NC ^{5,6}	NC ³	NC ³
Humanized PPAR α (TRE-hPPAR α^{PAC})	↑ ⁴	NC ⁴	↑ ⁷		

Fig. 3 Occurrence of Key Events in the PPAR α Mode of Action (MOA) in Mice in PPAR α Transgenic Mouse Models. Three PPAR α transgenic mice have been described to date to examine the role of PPAR α in hepatocarcinogenesis. The VP16PPAR α transgenic mice express a fusion protein containing the VP16 trans-activation domain and the mouse PPAR α , exclusively in hepatocytes. The TRE-hPPAR α transgenic mice express the human PPAR α but not the mouse PPAR α . In this model, the human PPAR α is transcribed from a full-length cDNA and can be regulated by a tet-off regulator. The TRE-hPPAR α^{PAC} transgenic mice express the human PPAR α but not the mouse PPAR α . In this model, the human PPAR α is transcribed from a genomic clone containing many human regulatory regions of the

from wild-type mice (Hasmall et al. 2000c). Cell proliferation does not occur in co-cultures of hepatocytes and Kupffer cells from *Ppara*-null mice demonstrating the absolute requirement of PPAR α for induction of cell proliferation (Hasmall et al. 2000a). The in vitro data suggest that the proliferative response of hepatocytes to PPAR α activators involves factors secreted by Kupffer cells including TNF α and is PPAR α -dependent.

Further evidence that hepatocyte proliferation is dependent on soluble factors in vivo comes from work by Weglarz and Sandgren (2004) who examined chimeric livers composed of wild-type and *Ppara*-null hepatocytes generated in either wild-type or *Ppara*-null mice. Exposure to a PPAR α activator led to induction of peroxisome proliferation and fatty acid β -oxidation only in wild-type hepatocytes, indicating that these responses require PPAR α . Hepatocytes in chimeric livers responded to treatment with increases in proliferation whether or not they contained an intact PPAR α as long as there was a population of hepatocytes within the liver that were from wild-type mice. These results indicate that hepatocytes lacking an intact PPAR α retain the ability to respond to the proliferative effects of PPAR α activators (Weglarz and Sandgren 2004) and imply that secreted factors from Kupffer cells affect *Ppara*-null hepatocytes.

The potential role of PPAR β/δ or PPAR γ in mediating effects in NPC has not been ruled out. PPAR α , PPAR β/δ

and PPAR γ can all be activated by a large overlapping set of environmentally relevant chemicals, including phthalates (summarized in Corton and Lapinskas 2005), solvents, and perfluorinated compounds (Maloney and Waxman, 1999). In addition, hypolipidemic drugs and environmentally relevant chemicals activate not only PPAR α but also PPAR γ and, to a lesser extent for some compounds, PPAR β/δ , as assessed in trans-activation assays (Corton et al. 2000). However, it is critical to point out that trans-activation assays are artificial systems and do not reflect normal physiology. For example, trans-activation assays sometimes use chimeric proteins that do not occur in normal cells, chromatin remodeling is not required for detection of “activity”, and many times trans-activation assays utilize culture medium that lacks serum and reporter constructs that contain multiple copies of the DNA response element required to measure activity. Collectively, these limitations must be considered when determining whether a compound actually activates a nuclear receptor as they could overestimate the ability of a chemical to activate a receptor. PPAR γ but not PPAR α or PPAR β/δ is expressed in Kupffer cells (Rusyn et al. 2000). Whether PPAR γ could be playing a role in Kupffer cell activation upon exposure to compounds that can activate both PPAR γ and PPAR α cannot be determined from trans-activation assays alone and requires further study.

The mechanism by which PPAR α activators activate Kupffer cells may involve activation of NF- κ B, which acts as a coordinator of adaptive and innate immune responses and plays a critical role in cancer development and progression (Arsura and Cavin 2005; Karin 2006). NF- κ B is activated under conditions of inflammation and oxidative stress (Czaja 2007; Gloire et al. 2006). The evidence that oxidative stress induced by PPAR α activators activates NF- κ B is discussed in the section “Activation of NF- κ B” below.

The second possible non-exclusive mechanism for increases in cell proliferation involves a microRNA (miRNA) cascade that culminates in increased expression of the *c-Myc* growth regulatory gene central to the hepatoproliferative response (Shah et al. 2007; Qu et al. 2014). Profiling of miRNA expression demonstrated that PPAR α regulates expression of the miRNA, *let-7c*, in the liver. In the absence of exposure to PPAR α activators, *let-7c* was shown to target and down-regulate the expression of the *c-Myc* gene. Following acute or chronic treatment with WY, *let-7c* was downregulated, leading to increased expression of the *c-Myc* gene. These molecular events were abolished in *Ppara*-null mice. *Let-7c* overexpression by itself decreased *c-Myc* expression and suppressed the growth of Hepa-1 cells, an in vitro model of mouse hepatocyte growth. The Shah et al. study (2007) provides evidence for a PPAR α -dependent *let-7c* signaling cascade critical for PPAR α activator-induced liver proliferation. Because other PPAR α activators were not tested in this or follow-up studies, it is not possible to determine if *let-7c* is important for PPAR α activators other than WY.

To summarize, extensive research has been carried out to identify the underlying mechanisms for cell proliferation after exposure to PPAR α activators. Several possible mechanisms for the induction of cell proliferation have been described including a role for cell proliferation dependent on the secretion of soluble growth factors and a role for cellular *c-Myc* induction. While the precise mechanism for induction in cell growth and suppression of apoptosis by PPAR α activators is not known, it can be reasoned that cell fate changes cannot occur without alteration in one or more signaling pathways that impact cell growth. Overall, the data support the conclusion that alteration of growth control pathways is a KE in the PPAR α activator MOA.

Key event 3-perturbation of cell growth and survival

PPAR α activators produce several tumor precursor effects, including increased hepatocyte DNA synthesis and cell proliferation in both normal and preneoplastic hepatocytes. The induction of cell proliferation in liver by PPAR α activators is believed to enhance the rate of fixation of DNA damage in genes controlling cell growth leading to silencing and/or mutations of tumor suppressor genes or activation

of oncogenes. These changes facilitate clonal expansion of initiated cells, leading to the formation of hepatic focal lesions (Cattley et al. 1991, 1998; Huber et al. 1991) or the selective clonal expansion of already present spontaneous preneoplastic cells (Isenberg et al. 1997; Kolaja et al. 1996a, b). The role of PPAR α activators in direct and indirect DNA damage is discussed below. Here, we summarize the data supporting the relationships between PPAR α activation and alteration in hepatocyte proliferation and apoptosis.

Increases in cell proliferation

All PPAR α activators that have been examined produce transient increases in replicative DNA synthesis during the first few days or weeks of exposure (Figs. 1, 2) followed by a return to baseline levels. This increase in hepatocyte proliferation along with increases in cell size from proliferation of the smooth endoplasmic reticulum results in liver enlargement. Potent PPAR α activators at high doses also exhibit sustained or chronic increases in cell proliferation, although the levels are much lower than those observed after acute exposures. One PPAR α activator (DEHP) did not always induce this chronic cell proliferation, even though the acute hepatocyte proliferation is clearly observed. It should be noted that minor increases above variable background levels of cell proliferation are difficult to detect which could preclude observing this sustained proliferation for weak activators.

Effects on apoptosis

Many non-genotoxic carcinogens including PPAR α activators suppress hepatocyte apoptosis. Suppression of apoptosis could inhibit the ability of the liver to remove DNA-damaged preneoplastic hepatocytes that arise spontaneously or through direct damage (Bayly et al. 1994; James and Roberts 1996a, b; Oberhammer and Qin 1995; Schulte-Hermann et al. 1981). Because of the difficulty in measuring the suppression of already low levels of apoptosis in vivo, most of the evidence for apoptosis suppression comes from in vitro studies. These studies show that the PPAR α activators nafenopin, methylclofenapate, and WY suppress spontaneous hepatocyte apoptosis as well as that induced by a negative regulator of liver growth, transforming growth factor β 1 (TGF β 1) (Bayly et al. 1994; Oberhammer and Qin 1995) or induced by diverse stimuli such as DNA damage or ligation of Fas, a receptor related to the tumor necrosis factor α (TNF α) family of cell surface receptors (Gill et al. 1998a, b). Four in vivo studies showed suppression of apoptosis after acute dosing with nafenopin, DEHP, or WY within the first few days of initial exposure (Bursch et al. 1984; James et al. 1998a, b; Youssef et al. 2003).

Suppression of apoptosis by PPAR α activators occurs under conditions of acute exposure concomitantly with hepatocyte proliferation resulting in increased liver size. However, once a steady state of liver size is reached, levels of apoptosis likely return to background levels or to levels that balance the low level of cell proliferation that occurs for potent PPAR α activators. Two studies show that chronic exposure of rats and mice to the PPAR α activator WY under conditions that result in chronic low level hepatocyte proliferation leads to increases in apoptosis (Burkhardt et al. 2001; Marsman et al. 1992). The ability of the liver to respond to apoptosis inducers *in vivo* is altered by PPAR α activators. Sensitivity to two apoptosis inducers (concanavalin A and Jo2 antibody) was dramatically increased after exposure to WY for 1 week in wild-type but not *Ppara*-null mice (Xiao et al. 2006). The data indicate that a physiological function of PPAR α activation is to increase hepatocyte growth through an increase in hepatocyte proliferation or a decrease in apoptosis or a combination of both effects. The end result is an increase in the size and number of hepatocytes followed by maintenance of the system at a new steady state.

To summarize, alterations in the balance between hepatocyte proliferation and apoptosis have been observed after exposure to PPAR α activators at different stages of carcinogenesis. Liver tumor growth requires alterations in hepatocyte proliferation and apoptosis. On the basis of these findings, the alteration of hepatocyte fate through induction of cell proliferation and/or inhibition of apoptosis is a KE in the MOA of PPAR α activator-induced liver tumors.

Key event 4-selective clonal expansion of preneoplastic foci cells

Non-genotoxic compounds that induce liver cancer cause selective clonal expansion of the preneoplastic liver cell population. PPAR α activators promote the growth of chemically and spontaneously induced lesions through enhanced cell replication (Cattley and Popp 1989; Cattley et al. 1991; Isenberg et al. 1997; Marsman et al. 1988). These activators selectively stimulate growth of initiated cells that have molecular characteristics different from cells in either spontaneous tumors or in tumors induced by other non-genotoxic chemicals such as phenobarbital (Rao et al. 1986). Foci induced by PPAR α activators are predominantly basophilic and do not express proteins such as glutathione S-transferase—placental form or gamma-glutamyl transpeptidase, which are normally associated with foci and tumors induced by other non-genotoxic carcinogens or DNA-damaging agents (Rao et al. 1988). Once early lesions are formed, continued exposure to PPAR α activators causes selective increases in DNA replication in these liver foci (Isenberg et al. 1997) while replication of normal hepatocytes in the surrounding liver is increased only slightly (Grasl-Kraupp et al. 1993a, b, c).

Furthermore, the preneoplastic foci respond to the cell replicative effects but not the peroxisome proliferative effects of PPAR α activators, suggesting that the growth stimulus, but not the peroxisome proliferative effect, is the important effect for carcinogenic action (Grasl-Kraupp et al. 1993a, b, c). While it has been reported that apoptosis increased in these foci and in adenomas (Isenberg et al. 1997; Grasl-Kraupp et al. 1997), the lesions continue to grow, because the increase in cell replication over ran any increase in cell death. Progression from initiated cell to hepatic carcinomas is dependent on the continued presence of the PPAR α activator. Five weeks after withdrawal of nafenopin, there was a 20% reduction in the number of hepatocytes in the non-involved tissue but an 85% reduction of cells in foci, adenomas and carcinomas (Grasl-Kraupp et al. 1997). These data indicate that continual activation of PPAR α is necessary for the growth of the altered cells in foci, adenomas, and carcinomas in the livers of mice and rats. Overall, the findings of a large number of studies are consistent with selective clonal expansion of preneoplastic foci cells as a KE in the PPAR α activator-induced liver tumor MOA.

Modulating factors

In the Corton et al. (2014) analysis, a number of other molecular and cellular events were considered as KEs including oxidative stress and activation of NF- κ B. However, the workgroup agreed that for oxidative stress and activation of NF- κ B there was not enough evidence to designate these effects as key events. Because they have the potential to alter the ability of PPAR α activators to increase liver cancer, these events were defined as modulating factors (Corton et al. 2014).

Increases in oxidative stress

Increases in oxidative stress through increases in reactive oxygen species (ROS) have been proposed as a possible KE for PPAR α activators (Corton 2010; Klaunig et al. 2003). There are consistent relationships between increases in ROS and increased incidence of liver cancer by PPAR α activators. Overproduction of oxidants is thought to cause DNA damage leading to mutations and cancer (Reddy and Rao 1989; Yeldandi et al. 2000). Alternatively, increases in ROS lead to increased activation of signaling pathways that alter cell fate (Rusyn et al. 2006). Markers of hepatic oxidative stress determined by measuring lipid peroxidation (thiobarbituric acid reactive substances, conjugated dienes, lipofuscin, malondialdehyde, F2-isoprostanes), oxidized glutathione, or hydrogen peroxide, were consistently increased by PPAR α activators in rats and mice (Figs. 1, 2). There were only a few studies that did not detect increases in these markers. These

negative studies are difficult to interpret, because other key or associating events were not simultaneously analyzed (e.g., Huber et al. 1991, 1997) and inconsistencies could be attributed to insufficient dose or time of exposure. There were two studies in which one assay for oxidative stress was positive but another negative (Conway et al. 1989; Fischer et al. 2002). Despite some inconsistencies, oxidative stress is induced upon activation of PPAR α .

Sources of ROS induced by exposure to PPAR α activators include enzyme-induced hydrogen peroxide that oxidizes DNA, lipids and other molecules. Enzymes regulated by PPAR α activators produce hydrogen peroxide as a byproduct of metabolism, including the peroxisomal, mitochondrial and microsomal oxidases such as fatty acyl-CoA oxidase (ACO) in hepatocytes (Becuwe and Dauca 2005). Administration of PPAR α activators can also lead to decreased levels of enzymes that degrade ROS, which may contribute to increases in oxidative stress upon exposure (Glauert et al. 1992; O'Brien et al. 2001a, b). The individual contributions of these enzymes involved in the production or metabolism of ROS to increases in oxidative stress and downstream KEs have not been quantitatively addressed.

The other major source of oxidative stress upon PPAR α activator exposure is proposed to be NADPH oxidase, which plays an important role in generating superoxide radical in response to Kupffer cell activators (De Minicis et al. 2006). The role of NADPH oxidase was determined directly by measuring oxidative stress and cell proliferation after PPAR α activator exposure in mice that lack one of the regulatory subunits of NADPH oxidase (*p47Phox*-null mice). After a 7d treatment with WY, the *p47Phox*-null mice lacked increases in oxidative stress and hepatocyte proliferation observed in wild-type mice (Rusyn et al. 2000). In a subsequent 3-week WY exposure study, increases in indicators of oxidative stress, palmitoyl-CoA oxidase activity, and cell proliferation were independent of the status of the *p47Phox* gene but were dependent on PPAR α (Woods et al. 2007b, c). Differences in the results of these two studies might be due to compensatory mechanisms in the longer term exposure which triggers conditions that allow bypass of *p47Phox* dependence.

The data indicate that PPAR α activators consistently increase the levels of ROS and oxidative stress through multiple mechanisms. There is little evidence that increases in oxidative stress lead to direct or indirect DNA damage after PPAR α activator exposure (discussed in Corton et al. 2014). The weight of evidence is not sufficient to conclusively link direct or oxidatively induced DNA damage as part of the MOA. However, it is concluded that the level of oxidative stress could be a modulating event in determining liver tumor induction, especially under conditions when background oxidative stress from endogenous PPAR α activators could add to chemical-induced oxidative stress.

Activation of NF- κ B

PPAR α activator exposure leads to activation of NF- κ B. Activation of NF- κ B can be measured by the ability of a heterodimer composed of p50 and p65 subunits to bind to an NF- κ B response element in an electrophoretic mobility shift assay (EMSA). Four activators (WY, ciprofibrate, gemfibrozil, and di-n-butyl phthalate) increased NF- κ B activity in rat or mouse liver (Figs. 1, 2). Nafenopin on the other hand did not induce NF- κ B; this finding could be due in part to differences in the manner in which this one lab carried out EMSA (Menegazzi et al. 1997; Ohmura et al. 1996). NF- κ B was shown to be activated in both Kupffer cells and hepatocytes. Activation occurs at different times in the two cell types; a single gavage dose of WY in rats caused increased NF- κ B activity in Kupffer cells as early as 2 h while in hepatocytes the peak occurred 6 h later and was not as pronounced compared to that in Kupffer cells (Rusyn et al. 1998). NF- κ B was activated by a PPAR α activator in the H4IIEC3 rat hepatoma cell line, responsive to the proliferative effects of PPAR α activators (Li et al. 2000a).

Addressing concerns regarding perceived inconsistencies in the rodent MOA

The PPAR α MOA described in Klaunig et al. (2003) has been criticized by Guyton et al. (2009) and Kesheva and Caldwell (2006). Much of the criticism of the MOA was based on two studies that were interpreted to support alternative MOAs for PPAR α activators. Below we address the major weaknesses of the arguments raised by these authors.

The DEHP bioassay study of Ito et al. (2007) in *Ppara*-null mice

The carcinogenic effects of DEHP were examined in wild-type and *Ppara*-null mice treated for 22 months; a small but statistically significant increase in total number of liver tumors was observed in *Ppara*-null mice (Ito et al. 2007). No increase in liver tumors was observed in wild-type mice. Guyton et al. state “PPAR- α activation and the subsequent KEs in the hypothesized MOA do not appear to represent the sole cause of DEHP liver tumorigenesis...the mechanisms by which DEHP induces hepatocarcinogenesis remain unknown.”

There are major weaknesses in the Ito et al. study not fully discussed in the Guyton et al. (2009) review. First, Ito et al. combined all liver tumors including hepatoblastomas to achieve statistical significance. Typically, statistical tests in carcinogenesis studies are determined using incidences of hepatocellular adenomas or hepatocellular carcinomas separately, and also on combined hepatocellular adenomas

and carcinomas. Hepatoblastomas originate from a different cell population and adding these tumors to hepatocellular adenomas and carcinomas is not an appropriate method to determine statistical significance of liver tumors. Given that the authors did not report the results of the statistical test for the combined adenomas and carcinomas, we can assume that those minor increases were not significant.

The second major weakness of the Ito et al. study was that the two doses of DEHP used in the study (0.01 and 0.05%) did not cause an increase in liver tumors in the wild-type mice, complicating the interpretation of the *Ppara*-null mouse results. Guyton et al. (2009) attempted to address this issue by comparing the level of tumors in *Ppara*-null mice (on the SV/129 background) with liver tumor incidence from another study carried out in B6C3F1 mice (David et al. 2000a). This is an inappropriate comparison due not only to strain differences in response but to differences inherent in conducting bioassays in different labs.

Guyton et al. (2009) used flawed logic to extrapolate from effects of DEHP in *Ppara*-null mice to wild-type mice indicating that the PPAR α -dependent MOA is not relevant in *wild-type mice* even though there were no increases in liver tumors in the wild-type mice in the Ito et al. study. Importantly, they failed to evaluate the weight of evidence of effects of DEHP in wild-type mice and compare the responses to those observed in *Ppara*-null mice.

There are clear differences in responses observed in the different strains, which indicate that the liver tumor response in wild-type mice is PPAR α -dependent. Ito et al. (2007) found that *Ppara*-null mice exhibit greater levels of background and DEHP-inducible levels of a marker of oxidative stress (8-OHdG) than wild-type mice. There were increases in the expression of p65 and Jun proteins in treated *Ppara*-null mice but not wild-type mice. Using RT-PCR, there were increases in the gene expression of *Hadha* in wild-type mice only and *Nfkb1* in *Ppara*-null mice only and decreases in the gene expression of *Bax* in *Ppara*-null mice only. In a follow-up study, Takashima et al. (2008) performed a microarray analysis on the liver tumors from the Ito et al. (2007) study and found that there was no overlap in the gene expression patterns between wild-type mice and *Ppara*-null mice. Furthermore, Takashima et al. validated differences in key genes involved in cell proliferation and apoptosis in the tumors by RT-PCR including increases in *Gadd45a* and *Apaf1* in wild-type but not *Ppara*-null mice and increases in *Ccnb2* and *Mcl1* in *Ppara*-null mice but not wild-type mice. Thus, all data points to the fact that the molecular environments in the treated wild-type and *Ppara*-null mice were different and that the liver tumors exhibit different molecular profiles.

If we assume that the minor increases in the hepatocellular adenomas and carcinomas in the DEHP-treated *Ppara*-null mice were significant (unlikely for the reason stated above), there are two mechanistic explanations for

the increases in the tumors, both of which are related to the biology and physiology of the *Ppara*-null mice and are PPAR α -independent (Fig. 4). The first explanation is that *Ppara*-null mice exhibit increased hepatic lipid accumulation and associated inflammation. *Ppara*-null mice are known to accumulate hepatic lipids as compared to wild-type mice due to reduced constitutive expression of lipid metabolizing enzymes (Aoyama et al. 1998; Kersten et al. 1999; Leone et al. 1999). Since increased lipid accumulation in the liver is causally associated with liver

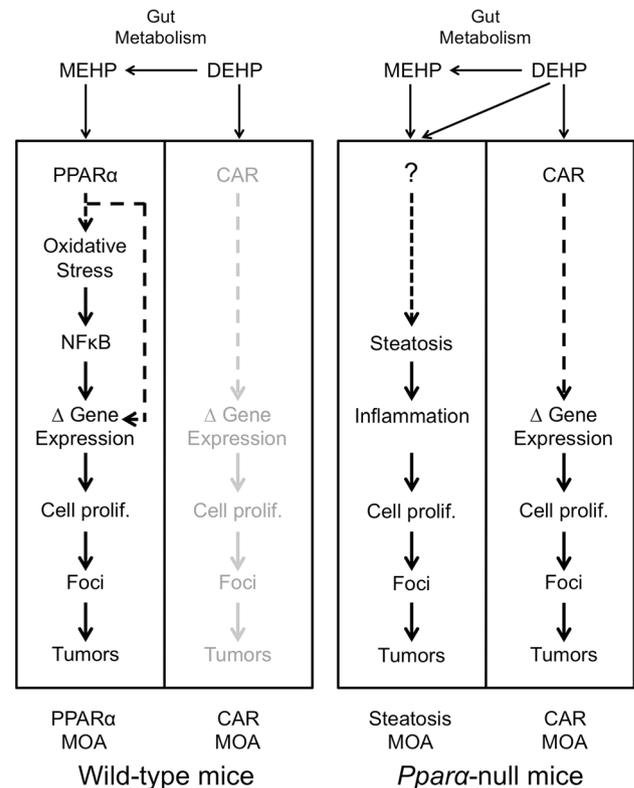


Fig. 4 Different mechanisms of liver tumor induction in wild-type and *Ppara*-null mice by DEHP. DEHP is metabolized to MEHP in the gut by esterases. (Left panel) MEHP activates PPAR α in wild-type mice triggering a cascade of events, including oxidative stress, activation of NF κ B, modulation (Δ) of gene expression, leading to liver tumor induction (the PPAR α MOA). DEHP weakly activates CAR in wild-type mice, but it is not known if weak activation of CAR leads to downstream key events other than weak activation of some CAR-dependent genes (the CAR MOA; lightened to illustrate the low probability of activation by DEHP). (Right panel) The molecular responses in *Ppara*-null mice are different than in wild-type mice. DEHP and MEHP may exacerbate the background level of hepatic lipid accumulation and/or inflammation that contributes to liver tumors (the steatosis MOA). Alternatively, DEHP and MEHP may be completely ancillary and have nothing to do with the steatosis MOA, as the liver tumors could develop simply from hepatic lipid accumulation and/or inflammation. DEHP also activates CAR to a greater extent in *Ppara*-null mice than in wild-type mice and may contribute to liver tumors in *Ppara*-null mice (the CAR MOA). See text for further description

cancer, it is not surprising that *Ppara*-null mice allowed to age to 1.5–2 years in the absence of exogenous chemical exposure have significant increases in spontaneous hepatocellular carcinomas and multiple hepatocellular adenomas compared to similarly aged wild-type mice (Howroyd et al. 2004). Given the increased background incidence of liver tumors in *Ppara*-null mice, the significance of the tumor increase in DEHP-treated *Ppara*-null mice could be a chance finding, and not a biologically significant effect of treatment. This is not possible to determine without a larger body of historical control data for liver tumor incidence in the *Ppara*-null mice. *Ppara*-null mice are also more susceptible to diethylnitrosamine (DEN)-induced hepatocellular carcinomas compared to wild-type mice possibly because of increased background inflammation (Zhang et al. 2014). Ito et al. (2007) did note increases in inflammatory cell infiltration in DEHP-exposed *Ppara*-null mice that also had tumors. Chemical-induced augmentation of steatosis and inflammation in the *Ppara*-null mice has also been observed in other studies. Ammonium perfluorooctanoate (APFO), PFHxS, and PFNA caused or augmented the basal hepatic steatosis in *Ppara*-null mice (Das et al. 2017; Nakagawa et al. 2012), and APFO caused increases in lobular inflammatory cells in *Ppara*-null mice but not wild-type mice (Nakagawa et al. 2012). In humanized PPAR α mice that express the human PPAR α in the absence of the mouse PPAR α , there was lipid accumulation and focal necrosis with inflammatory cells after exposure to DEHP, DBP or DEHA (Ito et al. 2012). Combined, these findings indicate that it is more likely that DEHP caused a low incidence of liver tumors (Ito et al. 2007) through a mechanism that involved steatosis and inflammation (Fig. 4). Importantly, this explanation does not rule out a PPAR α MOA in wild-type mice after exposure to DEHP.

A second explanation for the increased albeit low incidence of liver tumors observed in DEHP-treated *Ppara*-null mice in the Ito et al. (2007) study involves activation of another hepatocyte nuclear receptor involved in rodent hepatocarcinogenesis, specifically CAR. DEHP is an inducer of the CAR target gene, *Cyp2b10*, in wild-type mice (Currie et al. 2005; Eveillard et al. 2009a, b; Ren et al. 2010) but activates *Cyp2b10* and by extension CAR to higher levels in *Ppara*-null mice (Ren et al. 2010). These results suggest that in *Ppara*-null mice, DEHP could also activate CAR directly (without metabolism to MEHP) resulting in increases in liver tumors through a CAR-dependent mechanism. However, even in the absence of PPAR α expression, the level of *Cyp2b10* activation was only ~ 4-fold (Ren et al. 2009) compared to the large inductions (> 50-fold) associated with the CAR activator phenobarbital exposures that lead to liver tumors (Geter et al. 2014). It should be noted that no measurements of CAR activation were performed

in the original study (Ito et al. 2007) or in the follow-up analysis of the tumors (Takashima et al. 2008), so the CAR hypothesis remains to be established.

In summary, Guyton et al. overemphasized the significance of the Ito et al. (2007) study in the absence of a comprehensive analysis of DEHP effects in wild-type and *Ppara*-null mice. Further, the review by Guyton et al. neglects to mention viable mechanisms or potential for chance findings, illustrated in the present review that are more likely to contribute to the observed phenotype in DEHP-treated *Ppara*-null mice. The Ito et al. study (2007) has serious flaws including marginal (if any) statistical significance of the liver tumors in the *Ppara*-null mice and no liver tumors in the corresponding wild-type mice thus precluding a comparison between strains in the same study. The study was not adequately performed or reported to properly evaluate liver tumor induction. Guyton et al. make an inappropriate extrapolation of effects in the *Ppara*-null mice to that in wild-type mice claiming that because tumors were observed in *Ppara*-null mice (debatable as discussed above), the liver tumors observed in *wild-type mice* in other studies (David et al. 2000a) are, therefore, PPAR α -independent. Guyton et al. fail to adequately use a weight of evidence approach to determine the role of PPAR α in mediating DEHP effects in the wild-type liver. DEHP exposure leads to consistent effects of the KEs in the MOA. Dose response analysis shows that in mice (described below) and rats (Corton et al. 2014) early KEs are activated at lower doses than those more proximate to the apical event, and global gene expression analysis in the livers of mice treated with DEHP that PPAR α is required for over 94% of gene changes in wild-type mice with the remaining 6% consisting of many CAR-regulated genes.

Perceived weaknesses of the *Ppara*-null mouse model

The *Ppara*-null mouse line has been extensively used to determine the molecular and cellular effects of chemical exposures that require PPAR α . Kesheva and Caldwell (2006) stated that “...concerns have been raised regarding the adequacy of this model. These are related to both existing study designs (e.g., a less-than-lifetime analysis of tumor induction) and to whether the intrinsic characteristics of these knockout mice mean that they exhibit responses that differ from those of wild-type mice independent of effects related to PPAR α agonism.” The mice do exhibit phenotypic differences with wild-type mice that include increases in hepatic steatosis, differences in serum lipid components, and reduced constitutive activity of fatty acid metabolizing enzymes (Aoyama et al. 1998; Kersten et al. 1999; Leone et al. 1999). One could assume that Kesheva and Caldwell are suggesting the *Ppara*-null mouse line is inappropriate for

chemical exposure studies. Interestingly, *Ppara*-null mice are resistant to apoptosis inducers, Jo2 and Concanavalin A (Xiao et al. 2006). There is evidence that *Ppara*-null mice are more susceptible to liver toxicity upon chemical exposure. Primary hepatocytes from *Ppara*-null mice exhibit greater damage after treatment with cadmium or paraquat than hepatocytes from wild-type mice, and *Ppara*-null mice are more sensitive to damage after carbon tetrachloride and acetaminophen treatment (Anderson et al. 2004b; Chen et al. 2000). Hepatocytes in *Ppara*-null mice do have the ability to respond to proliferative stimuli. Using hepatocyte transplantation to generate chimeric livers composed of *Ppara*-null and positive hepatocytes in *Ppara*-null hosts, Weglarz and Sandgren (2004) showed that hepatocytes in *Ppara*-null mice respond to WY proliferative signals if adjacent to wild-type hepatocytes (Weglarz and Sandgren 2004). *Ppara*-null mouse livers also respond with a proliferative response after a partial hepatectomy, albeit with a slightly delayed onset (Anderson et al. 2002; Wheeler et al. 2003). These results indicate that the livers of *Ppara*-null mice are not inherently resistant to proliferative stimuli and are thus a relevant model to assess effects of chemicals that cause liver cancer through a PPAR α MOA.

In another criticism of the PPAR α mode of action, Kesheva and Caldwell also suggested that because the *Ppara*-null mice were exposed to less than lifetime treatments to WY or bezafibrate, this limits the suitability of this model. However, it should be noted that under the conditions of chronic exposure (~ 10–11 months), the *Ppara*-null mice did not exhibit phenotypic effects typically associated with PPAR α -induced hepatocarcinogenesis (Hays et al. 2005; Peters et al. 1997). These effects included relative liver weight increases (WY only; *Ppara*-null mice treated with bezafibrate exhibited a minor increase), increases in replicative DNA synthesis labeling indices, changes in expression of DNA repair genes, and alterations in proteins involved in the regulation of cell cycle and lipid metabolism. Thus, it is extremely unlikely that even if the *Ppara*-null mice were treated for a longer length of time with a PPAR α activator, they would develop liver tumors given the lack of shorter-term effects associated with hepatocarcinogenesis.

The Yang et al. VP16PPAR α mouse study

The second major study that has been used to argue against the PPAR α MOA is one that examined the effects of a fusion protein, which has constitutive PPAR α activity in the absence of an exogenous PPAR α activator (Yang et al. 2007). We discussed above that there are strong mechanistic links between PPAR α -mediated hepatocyte proliferation and liver tumors. To determine whether hepatocyte-specific PPAR α activation could cause hepatocarcinogenesis without involvement of other liver cell types, the effects of a

transgenic mouse model that expressed a hepatocyte-specific PPAR α fusion protein were examined. This transgenic mouse line expresses a constitutive PPAR α in the absence of an exogenous PPAR α activator (VP16PPAR α transgenic) (Fig. 3). It is critical to note that the receptor expressed in the hepatocytes of this transgenic mouse is a fusion protein containing the trans-activation domain from the herpes simplex virus protein VP16 ligated in-frame with the full-length mouse PPAR α . Expression of the VP16PPAR α fusion protein in the hepatocytes led to increases in typical markers of PPAR α activation including expression of genes involved in fatty acid β -oxidation. Replicative DNA synthesis in hepatocytes and relative liver weight was also increased in VP16PPAR α as compared to controls. Untreated transgenic VP16PPAR α mice allowed to age to ~ 1 year did not develop liver tumors despite constitutive increases in replicative DNA synthesis in hepatocytes and in relative liver weights (Yang et al. 2007). By contrast, replicative DNA synthesis in hepatocytes and relative liver weights were also increased in wild-type mice treated with WY, and there was an increase in liver tumors in wild-type mice treated with WY as compared to controls. The authors of this study concluded that hepatocyte-specific activity of PPAR α was insufficient to cause liver cancer, and that NPCs were required to cause PPAR α -dependent liver cancer.

Guyton et al. (2009) interpreted the study by Yang et al. (2007) in a manner different than the authors who performed the study. Guyton et al. (2009) suggested that the study by Yang and colleagues demonstrated that there can be no mechanistic link between cell proliferation and liver tumor induction in the PPAR α MOA. More specifically, Guyton et al. wrote: “Thus, the Yang et al. (2007) study provides evidence that, by itself, PPAR- α activation (and its sequelae) is not sufficient to induce hepatocarcinogenesis. These data are, therefore, inconsistent with the hypothesis that effects mediated through PPAR- α activation constitute a complete MOA for carcinogenesis” There are multiple problems with this interpretation. Guyton et al. did not consider many differences between activation of the VP16PPAR α fusion protein and activation of the endogenous PPAR α by a PPAR α activator such as WY. For example, when ligands bind to wild-type PPAR α there are many conformational changes that lead to loss of bound co-repressors, recruitment of co-activators, remodeling of chromatin, binding of a PPAR α /RXR/co-factor complex with response elements on chromatin, and increased and decreased expression of many target genes that ultimately leads to biological effects. This dynamic regulation can also be influenced by relative expression and function of co-repressors and co-activators in different cell types, and/or relative expression and function of other proteins involved in the remodeling of chromatin. Importantly, all these interactions can also be influenced by multiple equilibria between proteins and/or endogenous/

exogenous PPAR α ligands. By contrast, the VP16PPAR α fusion protein modulates gene expression and subsequent biological functions through different mechanisms. The viral VP16 trans-activation domain causes distinctly different protein–protein interactions with general transcription factors TFIIA, TFIIB, the TATA-binding protein, and TAFII40 components of the multisubunit TFIID, as well as direct recruitment of RNA polymerase (Hagmann et al. 1997). The VP16PPAR α model is likely similar to other transcription factor-VP16 fusion proteins that while they retain some ability to transactivate, the fusion proteins cannot induce all typical phenotypes observed when the transcription factor is activated through endogenous pathways (Schwarz et al. 1992). These differences help to explain the molecular basis for why the VP16PPAR α fusion protein lacks the ability to induce all the molecular changes required for hepatocarcinogenesis, and why in contrast PPAR α activation by chemical activators is actually sufficient to induce hepatocarcinogenesis by the PPAR α MOA.

Guyton et al. (2009) also did not account for the fact that there are molecular differences between the mechanism of hepatocyte proliferation induced by VP16PPAR α and that induced by ligand-activated PPAR α . Indeed, global transcriptional responses as compared between wild-type mice treated with WY and VP16PPAR α transgenic mice revealed a class of genes linked to hepatocyte proliferation and DNA repair induced by WY but not VP16PPAR α (Qu et al. 2010). For example, c-Myc, a critical regulator of hepatocyte proliferation, was unchanged in the VP16PPAR α transgenic mouse liver but is consistently induced by PPAR α activators (Cherkaoui-Malki et al. 1990; Miller et al. 1996; Shah et al. 2007; Qu et al. 2014). It has also been shown that c-Myc is required for WY-dependent increases in hepatocyte proliferation (Qu et al. 2014). The difference in transcriptional activation between wild-type mice treated with WY and VP16PPAR α transgenic mice cannot be explained by an alternative target of WY as these transcripts are also absent in treated PPAR α -null mice (Rosen et al. 2017; Qu et al. 2010). While the VP16PPAR α transgenic mouse line is an interesting experimental model, the experiments by Yang and colleagues did not demonstrate uncoupling of cell proliferation and liver cancer by PPAR α activators. Rather as noted by the authors, the studies provided evidence that PPAR α activity in NPCs appears to be required to cause liver cancer. The model does not provide evidence that there is no link between cell proliferation and liver tumor induction in *wild-type mice* as part of the PPAR α MOA as claimed by Guyton et al. (2009). The observed “uncoupling” of hepatocyte proliferation and liver cancer in the VP16PPAR α transgenic mouse line as compared to mice exposed to PPAR α activators is due to vastly different molecular events that exist between the two models. Wild-type mice treated with PPAR α activators require a c-Myc-dependent pathway for

hepatocyte proliferation as well as effects in NPCs for hepatocarcinogenesis, both of which do not occur in the transgenic mice expressing a VP16PPAR α fusion protein, due to differences in the function of the VP16PPAR α fusion protein.

Mechanistic links between KEs

The mechanistic links between the KEs in the PPAR α MOA can be assessed by perturbing a KE and determining whether the downstream KEs are altered in a consistent manner. If there is a mechanistic link, there should be effects on those KEs that are downstream from the perturbed KE but not necessarily on the preceding KEs. Guyton et al. (2009) suggested that the KEs in the PPAR α MOA were not mechanistically linked but were correlative in nature. Guyton et al. wrote “The limited database of other studies that empirically challenge the necessity or sufficiency of the PPAR- α activation MOA in hepatocarcinogenesis per se also motivates a reexamination of whether this MOA hypothesis should be used as the basis for dismissing the human relevance of effects observed in laboratory animals.” However, Guyton et al. (2009) did not discuss the large number of studies published before their review that demonstrate mechanistic links between the KEs as determined in both genetic and biochemical inhibition studies (Fig. 5).

If the KEs are mechanistically linked, inhibition of the first KE in the PPAR α MOA should inhibit the occurrence of the downstream KEs. The effects of PPAR α activators in *Ppara*-null mice are summarized in Fig. 3. Two studies assessed the effects of PPAR α activators on markers of oxidative stress in wild-type and *Ppara*-null mice. In the first study, abasic sites (i.e., sites that lack either a purine or a pyrimidine) in genomic DNA were used as a measure of oxidative stress. These sites were increased in wild-type but not *Ppara*-null mice after exposure to WY for 5 months (Woods et al. 2007b). In the second study, electron spin resonance (ESR) identified an increase in free radicals in the bile of wild-type but not *Ppara*-null mice after up to 3-week exposures to WY or DEHP. Bezafibrate administered to wild-type and *Ppara*-null mice at relatively high (100 mg/kg/day) or low (10 mg/kg/day) doses increased hepatic lipid peroxides in a PPAR α -dependent manner (Nakajima et al. 2010). Hepatic NF- κ B activation was observed in wild-type but not *Ppara*-null mice after exposure to WY (Woods et al. 2007a, b). Using global gene expression profiling, altered gene expression by WY, PFOA, PFOS, PFHXS, PFNA, DEHP, or ciprofibrate was almost completely abolished (~ 76–99%) in *Ppara*-null mice at multiple time points (Anderson et al. 2004a, b; Corton et al. 2004; Woods et al. 2007c; Rosen et al. 2008a, b; Sanderson et al. 2008; Rosen et al. 2017; Ren et al. 2010). The increased expression of the cell cycle control proteins CDK-1, CDK-2, CDK-4 and PCNA proteins

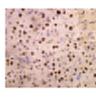
Mechanism of Inhibition	KE1:	KE2:	KE3:	KE4:	Apical Endpoint
	PPAR α Activation 	Alteration of Cell Growth Pathways 	Alteration in Hepatocyte Growth 	Clonal Expansion 	Liver Tumors 
Genetic Inhibition					
<i>Ppara</i> -null	NC ^(by def.)	↑ ³¹	NC ^{1,3,27}	↑ ³³ NC ^{2,3,4}	NC ^{2,4} (↑ ³¹ NC ^{2,4})
Catalase transgenic	↑ ¹¹		NC ¹¹		
<i>P47Phox</i> -null	↑ ^{1,27,28}	↑ ^{1,27}	NC ²⁸	↑ ²⁷ NC ²⁸	
<i>P50</i> -null	↑ ^{8,9}		NC ⁸	NC ^{8,9}	NC ⁹
Biochemical Inhibition					
Antioxidants in diet	↑ ^{6,10}	↑ ¹⁰ ↓ ^{7,16}	↓ ^{6,7,26}	↑ ¹⁰	↑ ¹⁰ ↓ ^{5,12,13}
Dexamethasone	↑ ^{14,15,23}		↓ ^{17,18,24,25}	↓ ^{14,15,23}	
Glycine	↑ ^{19,21}	↓ ^{20,22}	↓ ^{19,21}		↓ ²¹
Methylpalmitate	↑ ²⁹		↓ ²⁹		
Diphenyleneiodonium	↑ ²⁸	↓ ²⁸	↓ ²⁸	↓ ²⁸	

Fig. 5 Effects of genetic and biochemical inhibition of key events in the PPAR α MOA. Various transgenic and null mouse models have been used to examine the PPAR α MOA, including *Ppara*-null mice, *catalase* transgenic mice, *p47Phox*-null mice and *p50*-null mice. See details in text. Biochemical inhibitors have also been used as an alternative strategy to determine the relative requirement for specific KEs in the PPAR α MOA. An upward pointing arrow indicates the chemical induced the KE. A downward pointing arrow indicates suppression of the KE/endpoint. NC (no change) indicates that the endpoint was not altered upon chemical exposure. References: ¹Woods et al. (2007a); ²Peters et al. (1997); ³Peters et al. (1998); ⁴Hays et al. (2005); ⁵Rao et al. (1984) (ethoxyquin, 2(3)-tertbutyl-14-hydroxy-anisole); ⁶Calfee-Mason et al. (2004) (Vit E); ⁷Li et al. (2000a) (in vitro studies with Vit E treated H4IIE3C cells); ⁸Tharappel et al.

(2003); ⁹Glauert et al. (2006); ¹⁰Glauert et al. (1990) (Vit E increases the number of tumors while depleting glutathione reserves); ¹¹Nilakantan et al. (1998); ¹²Rao and Subbarao (1999) (dimethylthiourea); ¹³Rao and Subbarao (1997a) (deferoxamine–iron chelator); ¹⁴Lawrence et al. (2001a); ¹⁵Rao and Subbarao (1997b) (dexamethasone); ¹⁶Stanko et al. (1995) (Vit E); ¹⁷Ray and Prefontaine (1994); ¹⁸Widén et al. 2003; ¹⁹Rose et al. (1997a, b); ²⁰Rose et al. (1999a) (superoxide production in Kupffer cells); ²¹Rose et al. (1999b); ²²Rusyn et al. (2001) (free radicals in bile); ²³Ohmura et al. (1996) (measured peroxisomal bifunctional enzyme as PPAR α marker); ²⁴Chang et al. (1997); ²⁵De Bosscher et al. (2006) (review); ²⁶Rusyn et al. (1998) (allopurinol); ²⁷Woods et al. (2007b); ²⁸Rusyn et al. (2000); ²⁹Rose et al. (1997b); ³²Ito et al. (2007); ³³Wolf et al. (2008)

and CDK-1, CDK-4 and CYCLIN D1 mRNA was observed in wild-type but not *Ppara*-null mice fed WY (Peters et al. 1998). Wild-type mice treated with PPAR α activators exhibited increased hepatocyte proliferation compared to controls while no increases in hepatocyte proliferation were observed in *Ppara*-null mice after exposure to WY, diisononyl phthalate, or trichloroethylene (Peters et al. 1997, 1998; Valles et al. 2003; Laughter et al. 2004). In contrast, PFOA exposure led to increased hepatocyte proliferation in both wild-type and *Ppara*-null mice at 10 mg/kg for 7 days (Wolf et al. 2008) under conditions that activated CAR (Oshida et al. 2015), indicating that CAR was responsible for induction of cell proliferation at these high levels of PFOA in *Ppara*-null mice. The ability of PPAR α activators to suppress apoptosis was mitigated in similarly treated hepatocytes isolated from *Ppara*-null mouse livers (Hasmall et al. 2000a). Chronic

treatment with WY or bezafibrate resulted in very high percentages in the incidence of hepatocellular neoplasia in wild-type mice while the *Ppara*-null mice were essentially unaffected (Peters et al. 1997; Hays et al. 2005; Morimura et al. 2006). A single adenoma was found in one bezafibrate-treated *Ppara*-null mouse but as noted above for the Ito et al. study, the tumor was most likely due to the presence of lipid accumulation, inflammation and other molecular changes associated with these changes, and not due to the PPAR α MOA. Collectively, these studies demonstrate that all the KEs in the PPAR α MOA that are induced by PPAR α activators are abolished in the absence of a functional PPAR α .

Two transgenic mouse models have been used to determine the relationships between different sources of oxidative stress and downstream events. Catalase converts hydrogen peroxide to water and oxygen. In catalase-transgenic mice

that exhibit increased expression and activity of catalase in the liver, there were decreased levels of NF- κ B activation and decreased hepatocyte proliferation upon exposure to ciprofibrate (Nilakantan et al. 1998). NADPH oxidase in Kupffer cells plays an important role in generating superoxide radicals in response to Kupffer cell activators (De Minicis et al. 2006). NADPH oxidase is activated by PPAR α activators and is important in cell proliferation after short-term PPAR α activator exposure. Mice that lack one of the subunits of NADPH oxidase (the *p47Phox*-null mice) did not exhibit increases in oxidative stress, NF- κ B activation, and hepatocyte proliferation after short-term PPAR α activator exposure (Rusyn et al. 2000). However, exposure of mice to WY for three weeks led to increases in indicators of oxidative stress (including PCO activity), NF- κ B activation, and cell proliferation, independent of the status of the *p47Phox* gene; these KEs were dependent on PPAR α (Woods et al. 2007a,b). Longer term exposure may allow bypass of *p47Phox* dependence by increasing oxidative stress through activation of enzymes that produce hydrogen peroxide. Although not performed in a transgenic mouse model, overexpression of ACO (encoding PCO activity) in COS-1 cells, in the presence of a hydrogen peroxide-generating substrate was found to activate an NF- κ B-regulated reporter gene in the absence of a PPAR α activator (Li et al. 2000b).

NF- κ B activation is involved in modulation of hepatocyte fate in response to inducers of oxidative stress (e.g., Maeda et al. 2005) including PPAR α activators. Wild-type mice and mice deficient in the p50 subunit of NF- κ B (*p50*-null mice) were fed a diet with or without 0.01% ciprofibrate for 10 days. NF- κ B DNA binding activity was increased after ciprofibrate treatment in wild-type mice but not *p50*-null mice. Ciprofibrate-treated *p50*-null mice exhibited lower levels of hepatocyte proliferation than similarly treated wild-type mice (Tharappel et al. 2003). The *p50*-null mice were resistant to liver tumor induction after activation of PPAR α . Wild-type mice treated with DEN only exhibited a low incidence of liver tumors (25%). Wild-type mice treated with both DEN + WY showed a liver tumor incidence of 63%. In contrast, no increase in liver tumors was found in the DEN only or DEN + WY-treated *p50*-null mice, demonstrating that the p50 subunit of NF- κ B was required for the promotion of hepatic tumors by the PPAR α activator WY. These studies demonstrate that disruption of NF- κ B expression leads to downstream suppression of cell proliferation and liver tumor induction.

Studies using chemical inhibitors of oxidative stress or inflammation also highlight linkages of the KEs in the PPAR α MOA. In these studies, animals were pretreated with the inhibitor prior to PPAR α activator exposure or co-treated with a PPAR α activator and the inhibitor. The free radical scavenger, allopurinol, inhibited the activation of NF- κ B in

the livers of WY-treated rats compared to controls (Rusyn et al. 1998). In *in vitro* studies, the anti-oxidants vitamin E or N-acetylcysteine blocked the ability of NF- κ B to activate a reporter gene in ciprofibrate-treated H1IE3C cells (Li et al. 2000a). The antioxidant vitamin E inhibited ciprofibrate-induced increases in lipofuscin-like products, a measure of oxidative stress and ciprofibrate-induced increases in NF- κ B activation in the absence of effects on markers of PPAR α activation (Stanko et al. 1995; Calfee-Mason et al. 2004). Co-treatment with ciprofibrate and one of two anti-oxidants, 2(3)-tert-butyl-14-hydroxyanisole or ethoxyquin decreased the incidence and size of liver tumors in rats compared to ciprofibrate treatment alone (Rao et al. 1984). In similar studies, the anti-oxidants dimethylthiourea or deferoxamine decreased the incidence of liver tumors in rats fed ciprofibrate (Rao and Subbarao 1997a, 1999). Paradoxically, the antioxidant vitamin E depleted levels of the antioxidant glutathione and the animals exhibited increased tumor numbers after ciprofibrate treatment (Glauert et al. 1990). These studies demonstrate that suppression of oxidative stress blocks, or suppresses, the downstream events of NF- κ B activation and liver tumor induction.

Inhibition of downstream KEs by compounds that alter NF- κ B and Kupffer cell activation has been observed in multiple studies. The glucocorticoid receptor agonist dexamethasone is an anti-inflammatory agent that decreases the ability of NF- κ B to be activated under a variety of inflammatory conditions (Ray and Prefontaine 1994; Chang et al. 1997; De Bosscher et al. 2006). Dexamethasone decreased PPAR α activator-induced hepatocyte proliferation after acute exposures (Lawrence et al. 2001a; Rao and Subbarao 1997b; Ohmura et al. 1996) while having either no effect (Lawrence et al. 2001a; Rao and Subbarao 1997b) or modest decreases (Ohmura et al. 1996) on markers of PPAR α activation. Compounds that inhibit Kupffer cell activation (glycine, methylpalmitate) or inhibit NADPH oxidase (diphenyleneiodonium) attenuated increases in oxidative stress and NF- κ B activation after exposure to PPAR α activators but had no effects on markers of PPAR α activation (Rose et al. 1997a, b, 1999a, b; Rusyn et al. 2000, 2001). While pretreatment with diphenyleneiodonium, glycine or methylpalmitate decreased acute cell proliferation (Rose et al. 1997a, b; Rusyn et al. 2000; Rose et al. 1999a), glycine had no effect on chronic cell proliferation (Rose et al. 1999b). However, under these same conditions, glycine did decrease the size and number of tumors (Rose et al. 1999b).

Reddy and coworkers originally proposed that peroxisomal ACO (encoded in mice by *Acox1*) is the enzyme responsible for oxidative stress-induced DNA damage in liver tumors by PPAR α activators (Nemali et al. 1988). ACO was not only found to be dispensable for increases in oxidative stress, but control *Acox1*-null mice exhibited the phenotype of wild-type mice exposed to PPAR α activators including

increases in oxidative stress, increased hepatocyte proliferation, and induction of liver tumors that were dependent on PPAR α (Fan et al. 1998; Hashimoto et al. 1999). The molecular profile of the spontaneously induced tumors in the *Acox1*-null mice was very similar to that for liver tumors induced by the PPAR α activator ciprofibrate based on microarray analysis, indicating that the mechanisms leading to the induction of the tumors were similar in the *Acox1*-null mice and mice treated with a PPAR α activator (Meyer et al. 2003). Additional mouse models nullizygous for other genes involved in fatty acid β -oxidation have been created that have phenotypes indicative of constitutive PPAR α activation (Jia et al. 2003), but no studies to date have examined aged nullizygous mice to evaluate background tumor incidence. Importantly, a mouse model of hepatitis C virus (HCV)-induction of hepatocellular carcinoma in which the HCV core protein is overexpressed showed that PPAR α was required for liver tumor induction in 2-year-old mice (Tanaka et al. 2008a, b). In these studies, changes in a number of the key events or modulating factors involved in the PPAR α MOA were similar to that of a typical PPAR α activator including induction of oxidative stress and increases in cell proliferation. These results demonstrate that the PPAR α MOA is operational in the absence of exogenous chemical exposure. PPAR α activators, whether they are endogenous nutritional components or exogenous chemicals, can activate the PPAR α MOA resulting in liver tumors. Taken together, these biochemical and genetic inhibition studies demonstrate the large number of interconnecting linkages of the KEs in the PPAR α activator MOA that were not discussed in the Guyton et al. review.

Consistency of responses across chemicals

The Guyton et al. review (2009) also suggested that, “These considerations also highlight the need for a more robust database for compounds of environmental concern that activate PPAR- α , such as phthalates, perfluorinated acids, chlorinated solvents, and chloroacetic acids, either alone or in combinations relevant to human exposures.” Most of the data to support the evidence of KE modulation by many chemicals are reported in the Klaunig et al. (2003) review. As noted above, the effects of 10 structurally diverse PPAR α activators in the livers of mice and rats on the KEs in the PPAR α MOA are summarized from the Corton et al. (2014) review (Figs. 1, 2). The chemicals were selected for analysis, because of the large number of studies examining the effects of these compounds on endpoints that measure key events in the PPAR α MOA. The information is presented in a way that showed the relationships between PPAR α activator exposure and KE modulation. Overall, there was remarkable consistency in effects on the KEs for these compounds including

members of the chemical classes mentioned by Guyton et al. (2009).

Use of WY as the test agent

Another criticism of the evidence supporting the rodent PPAR α MOA is that mechanistic analyses were based largely on one PPAR α activator, WY. Guyton et al. (2009) suggested that “The extensive research focus on WY-14,643 is particularly problematic, because (a) it is typically administered at necrogenic doses well above those required for maximal responses; (b) it is one of the few agonists that produce sustained, as opposed to only transient, enhancement of DNA synthesis in hepatocytes; (c) unlike many other agonists, it preferentially activates rodent forms of PPAR- α (with humans exhibiting \sim 20-fold less sensitivity); and (d) humans apparently have never been exposed to it, either in an experimental or clinical setting.” These statements are misleading or not based on the facts. WY is a very specific activator of PPAR α . As mentioned above, global transcript profiling experiments showed that PPAR α is required for WY to alter the expression of \sim 98–99% of all genes in the mouse liver (Anderson et al. 2004a, b; Corton et al. 2004; Rosen et al. 2008a, b; Woods et al. 2007c; Rosen et al. 2017). Thus, WY is an excellent established model compound to study PPAR α -dependent effects in the absence of “off-target” effects that complicate studies with other (less specific) compounds. It is true that WY has never been administered to humans in the clinic, and that WY has often been used as a model PPAR α agonist especially in transgenic or nullizygous studies carried out in a number of laboratories (e.g., Qu et al. 2010; Shah et al. 2007; Morimura et al. 2006; Anderson et al. 2004a, b). However, most of the data that support the MOA including the mechanistic studies mentioned above were obtained from experiments that used other PPAR α activators (see Figs. 1, 2, 3). The idea that all studies using WY not only lead to PPAR α activation but to necrosis/cytotoxicity is not supported by the literature. For example, many studies have used dietary WY at a concentration of 0.1% or lower to examine effects induced by PPAR α . Necrosis is not observed in mouse liver after WY treatment unless a concentration 0.1% is fed to mice (Cunningham 2007). Similarly, Woods et al. (2007a, b) reported that WY induced liver cytotoxicity but only in rodents treated with exceptionally high doses of WY. To our knowledge, there are no comprehensive studies that have been performed to date demonstrating that, “WY-14,643...is typically administered at necrogenic doses well above those required for maximal responses” (Guyton et al. 2009). This is a misleading statement not supported by the scientific literature. As indicated in Figs. 1 and 2, out of the 6 compounds tested, 5 compounds (WY, clofibrate, nafenopin, ciprofibrate, and methylclofenapate) clearly exhibited sustained hepatocyte proliferation in

rats. The sixth compound DEHP caused increases in chronic proliferation in one study but not the other. In mice, out of the two compounds examined, both WY and DEHP caused sustained increases in cell proliferation. Although Guyton et al. (2009) do not explain the basis for saying that human PPAR α is ~ 20-fold less sensitive to WY than the rodent PPAR α , Maloney and Waxman (1999) did find ~ 20-fold difference in the concentration required to maximally activate in trans-activation assays. The differences between mouse and human PPAR α activation responses for WY are consistent with many other compounds tested: most compounds have either about the same potency or less potency for the human PPAR α vs. the mouse PPAR α in trans-activation studies. Differences in responsiveness of human vs. rodent PPAR α are discussed below. It is critical to note that differences in trans-activation do not necessarily parallel functional effects on gene activation due to many factors that are missing in trans-activation assays (discussed above). In side by side comparisons between activation of mouse or human PPAR α in the context of primary hepatocytes, equal concentrations of WY did not lead to greater numbers or fold-changes of gene expression changes in mouse hepatocytes versus human hepatocytes (Rosen et al. 2013). In summary, a thorough analysis of the literature shows that the PPAR α MOA is based not only on mechanistic studies using WY but on many structurally diverse chemicals that exhibit consistent effects on the KEs in the PPAR α MOA.

Pleiotropy of PPAR α activator effects

It is widely recognized that environmentally relevant chemicals can mediate toxicity by interactions with one or more molecular targets. Even drugs designed to specifically modulate one target have “off-target” effects. Numerous examples of this pleiotropy are found in the ToxCast screening program in which ~ 1000 compounds have been examined for their ability to cause effects in ~ 330 high throughput assays (Sipes et al. 2013). Guyton et al. (2009) have expressed concern that despite the overwhelming evidence for the rodent PPAR α MOA that there *could* be other effects important in mediating hepatocarcinogenesis. Guyton et al. (2009) state, “Indeed, the compounds that activate PPAR- α are pleiotropic and have been reported to exhibit a diversity of responses in addition to the hallmark effect of peroxisome proliferation, including genotoxicity (reviewed by Melnick 2001), epigenetic alterations (e.g., hypomethylation) (Pogribny et al. 2007), oxidative stress (reviewed in O’Brien et al. 2005), and effects on other receptors (e.g., Guo et al. 2007) and other organelles (e.g., mitochondria) within parenchymal cells (Lundgren et al. 1987; Scatena et al. 2003; Youssef and Badr 1998; Zhou and Wallace 1999).” It is important to note that Guyton et al. (2009) do not provide scientific evidence that any of these effects support a different MOA from

that presented above. Thus, the statement above misleads readers not familiar with the primary literature into thinking that there must be other mechanisms that explain how these chemicals cause liver cancer. Thorough examination of the literature indicates that the consensus among experts is that PPAR α activators are not directly genotoxic (reviewed in Klaunig et al. 2003). As discussed above there is evidence that PPAR α activators may damage DNA indirectly through increases in oxidative stress. PPAR α activators may cause effects by interacting with other receptors including CAR (discussed above in the context of the Ito et al. 2007 study), PPAR γ (discussed above regarding results of trans-activation assays), and estrogen receptor (Rosen et al. 2017). Epigenetic effects can be found after exposure to most if not all compounds (reviewed in Pogribny 2009; Romagnolo et al. 2014). Global DNA methylation, methylation of histone H4K20 and histone H3K9 were PPAR α -dependent as they occurred in wild-type but not *Ppara*-null mice after treatment of WY at 1, 5, and 21 weeks (Pogribny et al. 2007). Some PPAR α activators have effects on mitochondrial bioenergetics and biogenesis, but these changes have not been linked to liver cancer (Zhou and Wallace 1999; Walters et al. 2009). Keshiva and Caldwell (2006) frequently mentioned effects of PPAR α activators in other tissues even though there are no known linkages between those effects and the PPAR α MOA for liver cancer. The collective data indicate that in spite of this diversity of responses, the fact that the KEs in the PPAR α MOA are altered in predictable ways by structurally diverse compounds provides strength to the weight of evidence supporting the PPAR α MOA.

Comparison of potencies and dose–response relationships of PPAR α activators

If the rodent PPAR α MOA is correct, the potency for PPAR α activation or downstream KEs should be quantitatively related to the relative carcinogenic potency between PPAR α activators. If so, differences in carcinogenesis sensitivity could be approximated using quantitative information derived from dose–response assessments of the KEs. Guyton et al. (2009) compared disparate dose–response data from studies examining the effects of PPAR α activators on PPAR α activation in in vitro trans-activation studies and on liver cancer. Cancer data came from the Carcinogenic Potency Database (CPDB), which summarizes multiple carcinogenesis studies for a compound and derives a TD₅₀ (mg/kg/day) defined as the daily dose inducing tumors in half of the mice that would otherwise have remained tumor-free (Gold et al. 2005). Guyton et al. (2009) stated that “WY-14,643 and MEHP activate PPAR- α at comparable concentrations when directly compared in the trans-activation assay” even though WY was “> 65-fold” more potent than DEHP in inducing liver cancer. Guyton et al. (2009) conclude that “Together,

these findings underscore the significant chemical-specific quantitative differences in these markers that limit their utility for predicting carcinogenic dose–response relationships.” A closer inspection of all relevant data indicates that their conclusion was not correct.

Guyton et al. (2009) used trans-activation data from the Maloney and Waxman (1999) study who examined the effects of a number of PPAR α activators, using WY as a reference compound, for activation of the mouse PPAR α . Guyton et al. (2009) somehow calculated EC_{50s} and EC_{2-folds} from the Maloney and Waxman data even though Maloney and Waxman did not calculate or report these values. Guyton et al. (2009) claimed that the EC_{50s} for WY and MEHP were 0.63 and ~ 0.7 μ M, respectively, and for EC_{2-fold} were ~ 0.4 and ~ 0.7 μ M, respectively. It is entirely unclear how Guyton et al. (2009) derived these values in the absence of the raw data, and these values are not consistent with what is found in the Maloney and Waxman study. Even by “eyeballing” the graphs, an EC₅₀ for WY can be approximated as ~ 0.02 μ M as the response was maximal at 1 μ M (from Figure 1 of Maloney and Waxman 1999) and an EC₅₀ for MEHP can be approximated at ~ 0.5 μ M as the maximal response was at 20 μ M (from Figure 3C of Maloney and Waxman 1999). The analysis results by Guyton et al. (2009) are not only inconsistent with the data in the Maloney and Waxman study but inconsistent with the results in three independent studies that examined activation of PPAR α by MEHP using WY as a positive control. Issemann and Green (1990) carried out a dose response for six structurally diverse compounds and showed that WY and MEHP have ED_{50s} of 1.5 μ M and 50 μ M, respectively, a ~ 33-fold difference in potency. Bility et al. (2004) showed that MEHP exhibits markedly lower activity (~ 3-fold) compared to WY at approximately equal concentrations. Lapinskas et al. (2005) showed that a reporter gene was activated to a much higher level by WY compared to MEHP at any but the highest dose tested (200 μ M). Additionally, MEHP binding to human PPAR α was ~ 10-fold weaker than WY (K_i = 83 μ M vs. 9 μ M for MEHP and WY, respectively) (Lapinskas et al. 2005). It is important to note that while there are differences in the types of trans-activation assays performed by these different research groups, the results are consistent. Thus, the weight of evidence indicates that WY activates PPAR α at lower concentrations than MEHP and is more efficacious resulting in greater levels of activation than MEHP (Issemann and Green 1990; Lapinskas et al. 2005).

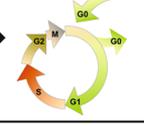
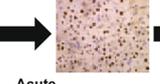
The “> 65-fold” greater potency of WY than DEHP in liver tumor induction as suggested in the Guyton et al. (2009) analyses is consistent with WY being a more potent activator of PPAR α than MEHP in vitro. Guyton et al. (2009) did not discuss the fact that we cannot assume

that there will always be a strict quantitative relationship between potencies of PPAR α activators in vitro and potencies of PPAR α activators in vivo in the absence of assessment of factors that allow in vitro to in vivo extrapolations. In this regard, Guyton et al. (2009) did not thoroughly discuss the contribution of differences between DEHP and WY in absorption, distribution, metabolism, and excretion that determine tissue dose. This is especially important in the present case as DEHP but not WY requires metabolism in the gut to MEHP. Questions remain as to whether the metabolism in gut and liver is saturable (Rowland 1974; Kessler et al. 2004). If the data were available, a more accurate comparison of potencies would be to compare the levels of MEHP and WY in the blood or liver under conditions that lead to liver cancer and compare those levels to the activation of KEs in the PPAR α MOA.

Dose–response relationships between activation of KEs can provide another test of the predicted sequence of KEs in an MOA. If the MOA is relevant, doses that activate one KE should also be sufficient to activate preceding KEs but not necessarily those KEs that are more proximate to cancer. Very few examples are found in the literature in which comparisons can be made of the dose-dependent relationships between most of the KEs in the PPAR α MOA. For three PPAR α activators, the findings are consistent with the linkage of the KEs. For DEHP, the KEs closer to the apical event (liver tumor induction) require greater DEHP levels in the diet to be induced (Isenberg et al. 2000; David et al. 1999, 2000a, b). At 500 ppm, markers of PPAR α were induced, while at 2500 ppm there were alterations of cell growth pathways (in this case, inhibition of gap junction intercellular communication), cell proliferation, and increases in hepatocellular adenomas.

Downstream KEs are induced by gemfibrozil at doses higher than those that activate PPAR α (analysis described in Corton et al. 2014 using data from Cunningham et al. 2010). The effective concentration for a 50% increase in the response (EC₅₀) was approximately the same for fatty acid β -oxidation (used here as a surrogate for PPAR α activation) and relative liver weight (EC₅₀ = 3862 and 3297 ppm gemfibrozil in the diet, respectively), whereas hepatic cell proliferation was induced at higher concentrations in the diet (EC₅₀ = 17,309 ppm).

For trichloroethylene (TCE), palmitoyl-CoA oxidase activity was increased consistently at 100 mg/kg/day, hepatocyte proliferation was increased at 300 mg/kg/day, and liver cancer was increased at 850 mg/kg/day (analysis described in Corton 2008). These three examples highlight the consistency in the dose–response relationships between surrogates of PPAR α activation, downstream KEs, and liver cancer.

Chemical	KE1:	KE2:	KE3:	KE4:	Apical Endpoint
	PPAR α Activation	Alteration of Cell Growth Pathways	Perturbation of Cell Growth and Survival	Clonal Expansion	Liver Tumors
					
Syrian Hamster					
Nafenopin	↑ ¹		NC ²	↓ ³	NC ⁴
WY-14,643	↑ ⁵	NC ⁷	NC ⁶		NC ⁴
DEHP	(↑) ⁸		(↑) ⁹		NC ³⁴
Methylclofenapate	↑ ¹⁰		(↑) ¹¹	↓ ¹⁰	
Ciprofibrate	↑ ¹²		↑ ¹³ NC ¹⁰		
Benzafibrate	↑ ¹⁴		NC ¹⁰		
Guinea Pig					
Methylclofenapate	NC ¹⁵		NC ¹⁶	NC ¹⁷	
Ciprofibrate	↑ ¹⁸ NC ¹⁹	NC ³⁶	NC ¹⁰		
WY-14,643	↑ ²⁰ NC ¹⁰		NC ¹⁰		
Nafenopin	↑ ²¹ NC ²²		NC ²³	↓ ³	
Fenofibrate	NC ²⁴				
Perfluorodecanoic Acid	NC ²⁵				
Bezafibrate	NC ¹⁴				
Cynomolgus Monkey					
DEHP	NC ²⁶		NC ²⁶		
Diisononyl Phthalate			NC ²⁶		
Clofibrate	NC ²⁶		NC ²⁶		
Fenofibrate	↑ ²⁷	NC ^{27,37}	NC ²⁷		
Ciprofibrate	↑ ²⁸ (↑) ²⁸	NC ^{29,37}	NC ²⁷		
Humans*					
See footnotes for compound used ^a	↑ ³⁰ NC ³¹	NC ³⁸	NC ³²	NC ³³	

Species differences in the PPAR α MOA

Studies conducted in numerous test species demonstrate that while mice and rats are responsive to PPAR α activator-induced liver cancer and associated responses, other species (e.g., Syrian hamsters, guinea pigs, New and Old World primates and humans) are less sensitive or insensitive (Ashby et al. 1994; Bentley et al. 1993; Cattley et al. 1998; Doull et al. 1999). Figure 6 summarizes PPAR α MOA KEs in Syrian hamsters, guinea pigs, cynomolgus monkeys, and humans. Because of the paucity of data for KEs in species

other than rats and mice, other endpoints more commonly measured in these studies and associated with exposure to PPAR α activators are discussed (i.e., relative liver weight and hypolipidemic effects). It is worth noting that there are inherent difficulties in extrapolating data from animal models to humans, due in large part to the lack of comparable data that are not available due to ethical reasons.

A partial PPAR α activator response was observed in Syrian hamsters and guinea pigs even though they are often considered “non-responsive” species compared to rats and mice. PPAR α activators WY and methylclofenapate decreased

Fig. 6 Species Differences in the Responses to PPAR α Agonists. The effects of various PPAR α activators have been examined in different species including Syrian hamsters, guinea pigs, cynomolgus monkeys, and humans. Note: PPAR α activation is a summary of trans-activation data as well as response of markers such as ACO (or PCO) and CYP4A, which are biomarkers of PPAR α activation and are dependent on level of PPAR α expression. Hypolipidemic effects are measured by decreases in triglycerides or VLDL-triglycerides. The table does not include PCO data from monkey species other than cynomolgus monkeys as other monkey data (which is almost universally negative) is summarized in Klaunig et al. (2003). An upward pointing arrow indicates that the chemical was found to lead to the KE/endpoint. A downward pointing arrow indicates suppression of the KE/endpoint. Upward arrows in parentheses indicated weak increases. NC (no change) indicates that the chemical did not change the KE/endpoint. Compounds used to treat humans or primary human hepatocytes are indicated in the footnotes. Footnotes: ¹Lake et al. (1993); Price et al. (1992); Lake et al. (1989b); ²Lake et al. (1993); Price et al. (1992); James and Roberts (1996a), (b); ³James and Roberts (1996a), (b); ⁴Lake et al. (1993); ⁵Lake et al. (1993); Choudhury et al. (2004); Lake et al. (2000); ⁶Lake et al. (1993); (2000); ⁷Tharappel et al. (2001); ⁸Isenberg et al. (2000); Lake et al. (1987); ⁹Isenberg et al. (2000); ¹⁰Lake et al. (2000); ¹¹Styles et al. (1990); ¹²Lake et al. (2000); Makowska et al. (1992); ¹³Styles et al. 1990; ¹⁴Watanabe et al. (1989); ¹⁵Lake et al. (2000); Bell et al. (1993); ¹⁶Lake et al. (2000); Styles et al. 1990; ¹⁷Plant et al. (1998); ¹⁸Lake et al. (2000); Pacot et al. (1996); ¹⁹Caira et al. (1998); Makowska et al. (1992); ²⁰Choudhury et al. (2000); Bell et al. (1998); Tugwood et al. (1998); ²¹Tugwood et al. (1998); Lake et al. (1989b); ²²MacDonald et al. (1999); Hasmall et al. (1998); ²³Hasmall et al. (1998); Elcock et al. (1998); James and Roberts (1996a), (b); ²⁴Cornu-Chagnon et al. (1995); ²⁵Chinje et al. (1994); Van Rafelghem et al. (1987); ²⁶Pugh et al. (2000); ²⁷Hoivik et al. (2004); ²⁸Cariello et al. (2005); ²⁹Hoivik et al. (2004); Cariello et al. (2005); ³⁰Hanefeld et al. (1983) (clofibrate); ³¹Hanefeld et al. (1980) (clofibrate); De La Iglesia et al. (1982) (gemfibrozil); Blumcke et al. (1983) (fenofibrate); Gariot et al. (1983) (fenofibrate) (review); Bentley et al. (1993) (review); Shaw et al. (2002) (monoisononylphthalate); ³²Perrone et al. (1998) (ciprofibrate; clofibrac acid); Goll et al. (1999) (ciprofibrate; bezafibrate; nafenopin; clofibrate; DEHP); Hasmall et al. (1999) (monoethylhexylphthalate; diisononylphthalate); Hasmall et al. (2000b) (DEHP); Shaw et al. (2002) (monoisononylphthalate); ³³Hasmall et al. (1998) (nafenopin); Goll et al. (1999) (ciprofibrate; bezafibrate; nafenopin; clofibrate; DEHP); Hasmall et al. (1999) (monoethylhexylphthalate; diisononylphthalate); Shaw et al. (2002) (monoisononylphthalate); ³⁴Maruyama et al. (1994); ³⁵Lake et al. (2000); ³⁶Cherkaoui Malki et al. 1990 (no change in myc); ³⁷Hoivik et al. (2004) (cyclins); ³⁸Thomas et al. (2015) (myc and cyclins)

triglycerides or VLDL-triglycerides in Syrian hamsters and guinea pigs. No changes in Myc were observed in guinea pigs treated with ciprofibrate. Five of the six PPAR α activators examined increased relative liver weights in Syrian hamsters. Only one chemical out of seven examined in guinea pigs increased relative liver weight and for that chemical (perfluorodecanoic acid), there was conflicting evidence of increases in the two studies. Studies measuring changes in hepatocyte proliferation in Syrian hamsters showed either a weak response, no response, or inconsistent results. Guinea pigs consistently did not exhibit increases in cell proliferation after exposure to four different chemicals. Syrian hamsters exhibited suppression of apoptosis after

exposure to nafenopin. Guinea pigs exhibited suppression of apoptosis with nafenopin but not with methylclofenapate. WY did not activate NF- κ B in the livers of hamsters, indicating that this response is species specific. Cancer bioassays performed in Syrian hamsters with nafenopin, WY, and DEHP were all negative (Lake et al. 1993; Schmezer et al. 1988). In summary, Syrian hamsters and to a lesser extent guinea pigs exhibited changes in endpoints associated with PPAR α activation (hypolipidemic effects and changes in fatty acid metabolizing enzymes). However, these species do not exhibit consistent changes in KEs in the liver cancer PPAR α MOA.

In vitro and in vivo data from cynomolgus monkeys (Fig. 6) and from other species of monkeys (marmoset, Rhesus) indicate that the KEs following PPAR α activation do not occur. Palmitoyl-CoA oxidase activity was evaluated in monkeys after in vivo exposure to a variety of PPAR α activators (e.g., bezafibrate, clofibrate, DEHP, MEHP, fenofibrate, nafenopin and LY171883); the changes were minimal or did not change relative to controls (summarized in Klaunig et al. 2003). No changes in cyclins were observed after exposure to ciprofibrate or fenofibrate. Moreover, cynomolgus monkeys failed to exhibit an increase in hepatocyte proliferation following exposure to DEHP, diisononyl phthalate (DINP), or clofibrate (Doull et al. 1999; Pugh et al. 2000). After a two-week treatment with clinically relevant doses of fenofibrate or ciprofibrate, cynomolgus monkeys exhibited increases in the number of hepatic peroxisomes but not peroxisome area (Hoivik et al. 2004). In this study, ciprofibrate but not fenofibrate significantly increased relative liver weights; hepatocyte proliferation was not observed after either exposure. Transcript profiling was used to characterize the genes altered by ciprofibrate exposure in the livers of treated monkeys from the Hoivik et al. (2004) study. Many genes involved in fatty acid metabolism and mitochondrial oxidative phosphorylation exhibited increased expression reflecting the known effects of exposure on lipid metabolism, but the magnitude of induction in the β -oxidation pathway was substantially less in monkeys compared to mice and rats (Cariello et al. 2005). Consistent with the lack of hepatocyte proliferation, exposure led to decreased expression of a number of key hepatocyte proliferation regulatory genes including members of the JUN, MYC and NF- κ B families; in contrast, rats exposed to the peroxisome proliferator BR-931 exhibited increased expression of JUN and MYC gene expression (Hsieh et al. 1991). Additionally, there were no transcriptional changes typical of DNA damage or oxidative stress observed in the monkey livers (Cariello et al. 2005). Lastly, marmosets exposed for 6.5 years to clofibrate at clinically relevant doses (94 mg/kg day or higher) did not develop liver tumors or increases in other indicators of KEs in the MOA over the duration of this study (Tucker and Orton 1995), but it should be noted

that the duration of this study did not represent a lifetime exposure for marmosets. Taken together, these studies in monkeys and marmosets indicate that there is no evidence that the KEs downstream of PPAR α activation are activated in primates treated with PPAR α activators at doses similar to which mice and rats have been exposed.

There is overwhelming evidence that humans are not responsive to the carcinogenic effects of PPAR α activators. One study measured changes in liver size in patients treated with fenofibrate and no changes were noted (Gariot et al. 1987). Biopsies from the livers of humans treated with hypolipidemic drugs or primary human hepatocytes treated with PPAR α activators were almost uniformly negative for peroxisome proliferation (reviewed in Bentley et al. 1993). In one out of five studies, there was a statistically significant increase in peroxisome number (~ 50%) but in the absence of a corresponding increase in volume of peroxisomes (Blumcke et al. 1983; De La Iglesia et al. 1982; Gariot et al. 1983; Hanefeld et al. 1980, 1983).

While there are no data on human hepatocyte proliferation *in vivo*, non-human primate data from *in vivo* studies collectively show that hepatocyte proliferation was not induced by PPAR α activators (Fig. 6 and reviewed in Doull et al. 1999). No increases in c-Myc and cyclins were seen in human primary hepatocytes treated with WY. The consistent lack of proliferation response in human primary hepatocytes in multiple studies is described below.

The effects of fenofibrate were investigated using a hepatocyte-humanized chimeric mouse model in which mouse hepatocytes were replaced with > 70% human hepatocytes. Fenofibrate induced hepatocellular hypertrophy, cell proliferation, and peroxisome proliferation in livers of mice containing all mouse hepatocytes, as expected, but not in the human hepatocytes in the chimeric mouse livers (Tateno et al. 2015).

Molecular basis for species differences

There are many differences in the structural and functional properties of PPAR α that exist between species. These include the cellular expression patterns of PPAR α and many other co-effector proteins that interact with PPAR α , cellular expression patterns of chromatin remodeling proteins, the relative availability of chromatin for PPAR α binding sites, and differences in the stoichiometry and relative binding affinities between all of these variables. These differences likely determine, at least in part, the underlying basis for human-rodent differences in PPAR α activator biological effects. The full-length human PPAR α is fairly comparable in overall structure from that in rodents (Mukherjee et al. 1994; Sher et al. 1993; Tugwood et al. 1996), and thus differences in responses must be based on other characteristics of the human receptor. PPAR α expression is the most often

cited factor for determining species-specific differences in PPAR α activator responsiveness. In a side-by-side comparison, mice exhibited ~ 3-fold more PPAR α mRNA expression than partially responsive Syrian hamsters and ~ 10-fold more PPAR α mRNA than non-responsive guinea pigs (Choudhury et al. 2004). Studies of human liver indicate that PPAR α is expressed at lower levels compared to responsive species. Palmer et al. (1998) used electrophoretic mobility shift assays (EMSA) to determine the level of PPAR α protein in liver samples capable of binding the human *CYP4A6* PPRE. In lysates from seven individual human livers in which PPAR α could be detected by the assay, the levels of PPAR α protein were ~ 10-fold lower than those detected in the livers of CD-1 or BALB/cByJ mice. For the remaining 13 individual human livers, the levels were below detection (> 20-fold less than mouse liver). A ~ 3-fold variation in the expression of the full-length PPAR α mRNA between human samples was noted. In another study using mouse and human hepatocyte cultures, the authors found that PPAR α mRNA in humans was only slightly lower compared to mice (Rakhshandehroo et al. 2009). It should be noted that this study did not evaluate protein expression or expression of the truncated form of PPAR α (discussed below). Overall, the data suggest that PPAR α mRNA and protein may be expressed at lower levels in human liver than in rodent liver.

A common PPAR α protein variant has been identified in a number of labs and is called hPPAR α -8/14 (Tugwood et al. 1996), hPPARSV (Palmer et al. 1998), PPAR α tr (Gervois et al. 1999), PPAR α 2 (Hanselman et al. 2001), and PPAR α -tr (Thomas et al. 2015). Due to alternative splicing this truncated form lacks exon 6, resulting in premature termination of the protein. The resulting protein lacks the hinge region between the DNA binding domain and the ligand domain as well as the ligand binding domain itself. This form acts as a dominant negative of the full-length PPAR α , inhibiting the ability of the wild-type receptor to activate transcription (Thomas et al. 2015), possibly by titrating out limiting amounts of co-activators (Gervois et al. 1999). The mRNA level of the truncated form ranges from 10 to 50% of full-length hPPAR α mRNA (Gervois et al. 1999; Hanselman et al. 2001; Palmer et al. 1998; Roberts et al. 2000) similar to that found in *Cynomolgus* monkeys (Hanselman et al. 2001). By comparison, mice and rats express the truncated protein at less than 10% of the full-length receptor (Hanselman et al. 2001). In a recent study with a large cohort of samples ($n = 150$), mean absolute transcript levels of PPAR α -tr were ~ 5-fold lower compared to the full-length receptor, whereas the truncated protein was expressed at ~ 3-fold lower than the wild-type protein (Thomas et al. 2015). Selective gene silencing of either form in primary human hepatocytes showed that while the full-length PPAR α regulates metabolic genes including those involved in metabolism of lipids and lipoproteins, the truncated PPAR α functions as an

endogenous inhibitor of proliferative and pro-inflammatory genes (Thomas et al. 2015). Thomas et al. suggest that the truncated PPAR α splice variant functions as an endogenous inhibitor of proliferative and pro-inflammatory genes in human hepatocytes, the absence of which in the mouse may explain species-specific differences in PPAR α activator-induced hepatocarcinogenesis.

Differences in the sequence of the LBD between rodent and human PPAR α could lead to differences in the efficacy (maximum level of activation) and potency usually measured at the effective concentration that leads to a half maximal response (EC_{50}). In side-by-side assays, human PPAR α is generally less sensitive than rodent PPAR α to activation by PPAR α activators. Most PPAR α activators activate mouse or rat PPAR α better than human PPAR α or exhibit no differences between species. Hypolipidemic agents and environmentally relevant PPAR α activators were able to activate rat or mouse PPAR α at lower concentrations or to higher absolute levels than human PPAR α in side-by-side trans-activation studies. The PPAR α activators included WY (Keller et al. 1997; Maloney and Waxman 1999; Takacs and Abbott 2007), perfluorooctanesulfonate (PFOS) (Shibley et al. 2004; Takacs and Abbott 2007), and a number of phthalate ester metabolites (Bility et al. 2004; Lapinskas et al. 2005). Some PPAR α activators showed no differences in activation between mouse and human PPAR α , including trichloroacetate (TCA), dichloroacetate, 2-ethylhexanoic acid (Maloney and Waxman, 1999), a number of phthalates (Bility et al. 2004), and clofibrate (Keller et al. 1993). PFOA was found to be less potent in activating human PPAR α compared to the mouse PPAR α (Maloney and Waxman, 1999). In another study, PFOA significantly activated the human and mouse PPAR α at 50 μ M and above while the rat PPAR α was activated at 100 μ M and above (Vanden Heuvel, 2006). Perfluorooctanesulfonamide (Shibley et al. 2004) was shown to modestly activate the human but not the rodent PPAR α at one lower dose (25 μ M versus 34 μ M in human versus mouse assays, respectively). There is only one example in the literature of a compound (an experimental hypolipidemic drug [compound 1 (3-chloro-4-((3-((3-phenyl-7-propyl-1-benzofuran-6-yl)oxy)propyl)thio)phenyl)acetic acid] (Merck Research Laboratories, Rahway, NJ)) that activated the human PPAR α at much lower doses than the mouse PPAR α (EC_{50} = 16 nM versus > 10 μ M for human PPAR α versus mouse PPAR α , respectively) (Lawrence et al. 2001a, b). Despite this one example, the data collectively indicate that human PPAR α is generally less sensitive than the mouse or rat PPAR α to activation by environmentally relevant PPAR α activators.

Allelic variants of human PPAR α have been identified which have properties somewhat different from the original cloned human PPAR α . The L162V variant containing an amino acid change in the DNA-binding domain is found at

an allelic frequency of 0.025–0.073 in an ethnically diverse set of populations (Flavell et al. 2000; Lacquemant et al. 2000; Tai et al. 2002). In subjects from Northern India by contrast, this allele is found at high frequencies (0.745) (Sapone et al. 2000). This variant lacks a response to low doses of WY but exhibits greater ligand-induced activity at higher doses compared to the wild-type receptor (up to ~ 4-fold difference between activation by the variant and the wild-type PPAR α) (Flavell et al. 2000; Sapone et al. 2000). Humans carrying this variant exhibited a greater decrease in total serum cholesterol when administered the hypolipidemic, bezafibrate (Flavell et al. 2000). Three Asian populations studied carry a PPAR α variant (V227A) within the hinge region at frequencies of 0.003–0.051 (Chan et al. 2006; Yamakawa-Kobayashi et al. 2002). This allele has been associated with decreased serum cholesterol and triglycerides in a Japanese population (Yamakawa-Kobayashi et al. 2002) and in Chinese women (Chan et al. 2006). Due to increased interactions with the nuclear receptor co-repressor (NCoR), the V227A variant of PPAR α exhibited decreased responsiveness to PPAR α activators (Liu et al. 2008). The human PPAR α -6/29 variant containing four amino acid substitutions acts as a dominant negative that can bind to a PPRE but cannot be activated by PPAR α activators (James et al. 1998a). This variant is likely very rare, as it was not detected in any of 173 human subjects from two studies (Roberts, 1999; Sapone et al. 2000). Overall, some PPAR α allelic heterogeneity exists in human populations. However, no variants have been identified that exhibit differential sensitivity to low, environmentally relevant doses of PPAR α activators compared to the “wild-type” human receptor.

In summary, species differences in response to PPAR α activators may be due to a number of factors including relative expression of the full-length receptor to expression of a dominant negative truncated form of the receptor. Because the human receptor does not regulate genes involved in hepatocyte growth, species differences in the structure of the promoters/enhancers of these genes may also be the molecular basis for species differences in growth response to PPAR α activators.

Addressing concerns regarding perceived weaknesses of data used to assess human relevance of the rodent MOA

Lack of response of human primary hepatocytes to increases in proliferation

A number of studies examined proliferative responses in human primary hepatocytes. In contrast to the studies in rat and mouse primary hepatocytes that consistently demonstrated increases in proliferation and suppression of

apoptosis (discussed above), PPAR α activators uniformly do not induce cell proliferation or suppress apoptosis in human hepatocytes cultured in vitro (Goll et al. 1999; Hasmall et al. 1999, 2000b; Perrone et al. 1998; Williams and Perrone 1995). The lack of response was consistent across seven different PPAR α activators tested in multiple labs. Rat primary hepatocytes treated with the same PPAR α activators were used as positive controls.

A criticism of these studies from the Guyton et al. review suggested that: “The culture conditions, including lack of co-cultured non-parenchymal cells (e.g., Kupffer cells), may limit the in vitro hepatocyte proliferative response, as observed for other species (e.g., Parzefall et al. 2001).” The Parzefall et al. study demonstrated that in the absence of NPCs, the rat primary hepatocytes lose the ability to proliferate after PPAR α activator exposure. The method used by Parzefall et al. to purify hepatocytes from the NPCs (Kreamer et al. 1986) included three low speed sedimentations followed by a centrifugation over Percoll to remove the NPCs. These additional steps were not used in any of the studies examining the effects of PPAR α activators on proliferation of human hepatocytes and, thus, it can be confidently assumed that the preparations used in these studies contained NPCs. The presence of NPCs was validated by the fact that the rat primary hepatocytes isolated using similar procedures consistently responded to PPAR α activators with a proliferative response. Despite the presence of the NPCs, the human hepatocyte preparations lacked the ability to proliferate, consistent with the conclusion that human liver is refractory to the hepatoproliferative effects of PPAR α activators.

Perceived weaknesses of the PPAR α -humanized mouse models

Two mouse strains have been created that express human PPAR α in *Ppara*-null mice (PPAR α humanized mice) (Fig. 3) allowing for analysis of functions of the human PPAR α in the context of the mouse liver. In the TRE-hPPAR α mouse, PPAR α is under the control of a liver-specific promoter and is preferentially expressed in hepatocytes (Cheung et al. 2004). The other humanized mouse (hPPAR α PAC mouse) contains a 211-kilobase region encoding the regulatory and structural regions of the human PPAR α gene. In this model, human PPAR α is expressed in the same tissues as those of the mouse PPAR α (Yang et al. 2008). Both strains express human PPAR α at levels comparable to or greater than mouse PPAR α in wild-type mice. After WY exposure, the humanized mice exhibit many of the typical responses of treated wild-type mice including activation of lipid metabolism and peroxisome genes, increases in peroxisome proliferation, and decreases in serum total triglycerides (Cheung et al. 2004; Morimura et al. 2006). TRE-hPPAR α

mice exhibited lower responsiveness of lipid metabolism genes than similarly treated wild-type mice. These attenuated responses were observed with 0.1 or 0.3 mg/kg of ammonium perfluorooctanate (APFO) for 2 weeks by gavage (Nakamura et al. 2009), 1 and 5 mg/kg of APFO for 2 weeks by gavage (Nakagawa et al. 2012), three doses of DEHP (0.01, 0.05, and 0.1%) for ~ 7 weeks (Hayashi et al. 2011), and two doses of the plasticizers di-n-butyl phthalate (DBP), DEHP, or di(2-ethylhexyl) adipate (DEHA) for 2 weeks (Ito et al. 2012). The TRE-hPPAR α mice did not exhibit increases in cell proliferation or expression of cell cycle proteins after WY treatment (Cheung et al. 2004; Morimura et al. 2006). In the hPPAR α PAC mouse, there was a slight but significant increase in cell proliferation after exposure to WY but no changes in the expression of cell cycle genes *cyclin D1* and *CDK4* (Yang et al. 2008). In a 38-to 44-week exposure study with WY, the TRE-hPPAR α mice were also refractory to liver cancer. Wild-type mice but not the humanized mice exhibited a significant increase in liver tumors despite the fact that the humanized mice were exposed 6 weeks longer than the wild-type mice to the compound (Morimura et al. 2006). These studies showed that human PPAR α is pharmacologically active but does not regulate the full spectrum of responses necessary for hepatocarcinogenesis when expressed in the mouse liver.

Concerns were raised by Guyton et al. (2009) about the use of the humanized mice to make conclusions about differences between human and rodent PPAR α and liver cancer induction: “...the accuracy of estimates of the extent of this difference is limited by the short exposure duration, the substantial mortality and morbidity in wild-type mice, the small number of animals studied, and potential differences in the interaction of the human receptor with mouse-specific co-activators and response elements”. It is true that the exposures in the carcinogenicity study were less than lifetime. However, similar to the argument made above about the bioassays with WY and bezafibrate in wild-type and *Ppara*-null mice, less than lifetime exposures would be more of a concern if there were molecular or cellular indicators of carcinogenesis altered in the TRE-hPPAR α mice at the time of euthanasia (44 weeks of exposure). Other than mild fatty change, glycogen deposition, minor increases in relative liver weight, and increases in proteins involved in fatty acid homeostasis (ACOX, CYP4A, MCAD, ME), there were no changes in markers of cell proliferation or DNA damage including c-MYC, CD1, CDK1, CDK4, p21, BAX and BCL2 (Morimura et al. 2006). (A slight induction of p53 gene expression was observed, the origin and significance of which is unknown.) The morbidity and mortality of the treated wild-type mice were likely due to the concentration of WY in the diet (0.1%) used to maximize responses given that the human PPAR α is less responsive to WY than mouse PPAR α based on trans-activation assays. The “small”

numbers of animals would be a concern for this study if the results were more equivocal. There were clear differences in hepatocellular adenomas and carcinomas between the wild-type and humanized PPAR α mice. Potential differences in the interactions between the human or mouse PPAR α and mouse co-regulators could lead to differences in responses. However, the human PPAR α was able to efficiently activate genes involved in fatty acid metabolism but not cell cycle genes including c-MYC (Morimura et al. 2006), similar to the functions of the human receptor in humanized livers (Tateno et al. 2015). We stress that the results generated in these models should not be over-interpreted. The models were never developed to derive a quantitative estimation of species differences in sensitivity to carcinogenesis.

Perceived weaknesses of the epidemiology studies

Several large retrospective epidemiological studies examined the relationships between chronic treatment with the hypolipidemic agents and PPAR α activators, gemfibrozil and clofibrate, and liver cancer (reviewed in Klaunig et al. 2003; Peters et al. 2005). There was no elevated risk of mortality from liver cancer reported in any of the published reports on the health outcomes associated with over a decade of chronic use of these pharmaceuticals to treat large human cohorts (Frick et al. 1987; Huttunen et al. 1994). A possible exception is one cohort, in which excess mortality due to a higher incidence of the malignant neoplasms of the “liver, gallbladder and intestines” was reported in clofibrate treated subjects (Report from the Committee of Principal Investigators 1978). However, death rates among the clofibrate-treated group for cancer were similar to the official mortality statistics for individuals from the same area, the number of observed cases of gastrointestinal cancers was very small, and there was no difference among groups in a follow-up analysis of the mortality trends in this cohort.

A number of concerns were raised by Guyton et al. (2009) regarding the epidemiology studies to discount risk of liver cancer caused by the fibrate drugs, which are PPAR α activators. Guyton et al. suggested that “the available studies have low power to detect statistical differences in the risk of liver cancer; an estimated five or fewer liver cancer deaths would have been expected in these studies using data from the National Cancer Institute’s Surveillance, Epidemiology, and End Results database (Ries et al. 2008).” Since the Guyton et al. (2009) review, a meta-analysis of 17 randomized controlled trials (RCTs) was carried out by Bonavas et al. (2012). RCTs involving 44,929 participants with an average follow-up of 5.2 years were included in the analysis. The authors determined two common parameters from the data: relative risk (RR) and confidence intervals (CI). RR is the ratio of the probability of an event occurring in the drug-exposed group compared to the probability of the event

occurring in the non-exposed group. CIs are a range of values where there is a specified probability that the value of a parameter lies within it. The authors indicated that “The quantitative synthesis of data retrieved from the RCTs was not indicative of a fibrate effect on cancer incidence [780 (fibrate) vs 814 (control); RR = 1.02, 95% CI 0.92–1.12] or cancer death [385 (fibrate) vs 377 (control); RR = 1.06, 95% CI 0.92–1.22]. When the analysis was restricted to major RCTs, the results did not substantially change. Similarly, we found no evidence of differential effects by length of follow-up or type of fibrate.” (Bonavas et al. 2012). The authors concluded that fibrates have a neutral effect on cancer outcomes, which include those in the liver. In summary, fibrate drugs have been on the market since 1977 without an apparent increase in liver cancer in people taking them chronically.

Summary

There is remarkable consistency in the data supporting the PPAR α MOA as originally described by Klaunig et al. (2003) and modified with more recent data (Corton et al. 2014). The consistency occurs across many structurally diverse PPAR α activators. These include not only PPAR α activators in consumer use products, but hypolipidemic drugs that patients have been and are exposed to at levels many orders of magnitude higher than environmentally relevant chemicals. All the 10 PPAR α activators examined in the analysis activated most, if not all the KEs in the MOA in the two responsive species (rats and mice). Mechanistic studies using gene nullizygous models or chemical inhibitors of oxidative stress or inflammation demonstrated that the KEs are mechanistically linked. In these studies, inhibition of the KE leads to effects on the KEs downstream but generally not upstream of the targeted KE. The linkage of the KEs is also supported by dose–response analysis of individual chemicals. KEs that are more proximate to liver cancer require the same or greater doses of chemical for activation. There are striking differences in species responses of the KEs in the PPAR α MOA. Syrian hamsters, guinea pigs and non-human primates are better human surrogates than mice and rats because of differences in PPAR α expression and activity. While these species exhibit PPAR α activation and associated increases in genes and proteins involved in lipid homeostasis which underlie the universal hypolipidemic effects, these species lack the activation of KEs downstream of PPAR α including alteration of cell growth pathways, hepatocyte proliferation, and liver cancer. Human hepatocytes in culture or in the context of humanized mouse livers do not respond to exposure with a proliferative response. Epidemiological studies of large numbers of patients that have been prescribed hypolipidemic drugs for up to a decade do not show

increases in adverse liver effects or cancer. Taken together, the weight of evidence strongly supports the rodent MOA for PPAR α -induced liver tumors and the conclusion that this PPAR α MOA is either “not relevant” or “unlikely to be relevant” in humans (Corton et al. 2014).

Criticisms of the rodent MOA (Klaunig et al. 2003) have been articulated in two reviews (Kesheva and Caldwell 2006; Guyton et al. 2009). Here, we have systematically addressed the most germane perceived weaknesses of the PPAR α MOA made by these groups. The Guyton et al. (2009) analysis focused in part on two studies that appeared to provide evidence that the KEs in the MOA are not mechanistically linked to liver cancer. The Ito et al. (2007) DEHP bioassay performed in wild-type and *Ppara*-null mice has been suggested by Guyton et al. (2009) to show that DEHP does not require PPAR α to cause liver tumors in wild-type mice. We detail the weaknesses of the study, which include questionable, if any statistical relevance of the induced tumors in the *Ppara*-null mice. The Guyton et al. (2009) review argued that because DEHP caused liver tumors in *Ppara*-null mice, the liver tumors that occur in *wild-type mice* (from other studies) are PPAR α -independent, even though no liver tumors occurred in the wild-type mice from the Ito et al. study. We provide evidence that the liver tumors in the *Ppara*-null mice occur either through augmenting background hepatic steatosis and inflammation or through activation of CAR, both of which are not relevant to DEHP-treated wild-type mice. There is abundant evidence that the liver tumors produced in wild-type mice by DEHP exposures occur through the PPAR α MOA. There is consistent activation of all the KEs in the MOA by DEHP, there is consistent dose and temporal responses regarding the KEs, and DEHP regulates gene expression in the mouse liver almost exclusively through PPAR α .

The second study (Yang et al. 2007) describes the effects of a constitutively active PPAR α (VP16PPAR α) that was most notable, because hepatocyte proliferation was observed in the absence of liver tumor induction. These results were used by Guyton et al. (2009) to suggest that the KEs in the MOA are not mechanistically linked. However, the mechanism by which constitutive activation of PPAR α in the VP16PPAR α transgenic mouse leads to hepatocyte proliferation is not the same as that activated by PPAR α activator exposure in wild-type mice. Wild-type mice require activation of a pathway involving the proto-oncogene *c-Myc* and proliferation of NPCs both of which do not occur in mice that express the VP16PPAR α fusion protein. Thus, it is not surprising that cell proliferation caused by the VP16PPAR α fusion protein does not lead to liver cancer.

We also addressed additional concerns of Guyton et al. (2009) including the 1) perceived use of one compound for mechanistic studies, 2) perceived weaknesses of the *Ppara*-null mouse model to provide mechanistic support to the

MOA, 3) lack of linkage of KEs, 4) lack of data on environmentally relevant compounds, 5) pleiotropy of PPAR α activator effects, and 6) potency differences between chemicals.

Concerns about the perceived weaknesses of species extrapolation of the MOA to human risk have also been argued by Guyton et al. (2009). The present review has addressed three of the main points including artifacts in the isolation of human primary hepatocytes that could lead to a lack of a proliferative response, weaknesses of the humanized PPAR α mouse studies, and weaknesses of the epidemiology studies. Guyton et al. (2009) suggested that experiments with human primary hepatocytes were compromised because of the lack of NPCs in the cultures. However, a careful examination of the procedures used to isolate the human hepatocytes demonstrated that the lack of proliferative responses in the primary hepatocytes is not due to lack of NPCs. Procedures to purify the hepatocytes from the NPCs were not carried out in the human primary hepatocyte experiments. Furthermore, in the same studies, rat primary hepatocytes isolated using similar procedures consistently responded with a proliferative response to the same chemicals demonstrating the striking species differences. Criticisms of the humanized PPAR α studies included uncertainty regarding the use of the models to quantitate differences in responses between mice and humans. While the humanized mice were refractory to the proliferative and hepatocarcinogenic effects of PPAR α activator exposure, the mice were never intended to derive values that can be used to quantitate species differences for risk assessment. These mice lack proliferative responses in the livers consistent with lack of responses in human primary hepatocytes. Thus, under a diverse array of exposure scenarios, humans do not respond the same way as responsive species. While the weaknesses of using individual epidemiology studies to assess risk of liver cancer made by the Guyton et al. (2009) are acknowledged, a meta-analysis study of 17 epidemiology studies was published after the Guyton et al. (2009) review. The meta-analysis study included over ~ 45,000 patients. The conclusion of the study was that there was no increased risk of any kind of cancer after exposures for up to a decade or more.

In an MOA analysis, every molecular detail is not needed to build an MOA and use that information for human risk assessment (Cohen et al. 2003, 2004). While not every molecular event has been defined for PPAR α activation, the events which occur between activation of PPAR α and liver tumor induction are well established and have been consistently reproduced. Epidemiologist Sir Austin Bradford Hill said: “All scientific work is incomplete—whether it be observational or experimental. All scientific work is liable to be upset or modified by advancing knowledge. That does not confer upon us a freedom to ignore the knowledge we already have....” Over the last 40 years, a large body of

data has been generated involving many academic, government and industry labs on a diverse array of chemicals that strongly supports the MOA for PPAR α liver tumorigenesis in the rodent and provides equally strong evidence for the lack of relevance to the human.

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Compliance with ethical standards

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